

Ultra-high energy cosmic rays: 40 years retrospective of continuous observations at the Yakutsk array: Part 2. Mass composition of cosmic rays at ultra high energies

Stanislav Knurenko^a and Igor Petrov^b

Yu. G. Shafer Institute of cosmophysical Research and Aeronomy, 31 Lenin ave, Yakutsk, Russia

Abstract. In the paper, we describe methods for the analysis and present results for the mass composition of cosmic rays, obtained by using these techniques over a large time span. The data were obtained at the Small Cherenkov array over a 20 – year period of continuous observation and 40 – years of observations at the main Yakutsk array. Our experimental data indicate a change in the mass composition in the energy range 10^{16} – 10^{18} eV and is confirmed by independent results obtained by other EAS arrays.

1. Introduction

In this review, we used the work of the Yakutsk group in which was introduced an estimation of the mass composition of cosmic rays at ultrahigh energies [1–5]. In these studies we analyzed the characteristics of longitudinal and lateral development of EAS, reconstructed according to observations at the Yakutsk array. This primarily refers to measurements of Cherenkov light of EAS and muons with a threshold energy ≥ 1 GeV. These components, in accordance with the calculations, were considered the most sensitive characteristics of the shower to the atomic weight of the primary particle. The results were obtained using different models of hadron interactions [6–8]. One can assume that all presented results on the mass composition are rather indicative, because they are not direct but indirect measurements of the mass composition and depend on many factors:

- 1) the accuracy of the measurement of the basic characteristics (they are all different for different arrays),
- 2) the conditions of registration, the techniques used, mathematical processing and selection of events, and finally
- 3) from a large uncertainty in the choice of a single model of hadron interactions to describe the development of extensive air showers at ultrahigh and especially in the region of huge energies.

However, it is necessary to estimate the CR mass composition in the region of ultra-high energies and compare these results with direct measurements, the so called normal composition, which were obtained at high energies from satellite and balloon measurements. This leads to a refinement of our knowledge of the nature of

cosmic radiation and a better understanding of the physics of EAS development in the field of the highest energies.

2. Mathematical methods of analysis and results

2.1. The method of joint analysis of the average characteristics of the longitudinal development of EAS and their fluctuations: X_{max} , $\sigma(X_{max})$, dE/dX_{max}

In paper [9] it was suggested that composition of primary particles consists of a mixture of protons and iron nuclei. The analysis also used the superposition hypothesis, where it was assumed that the collapse of the primary nucleus did not occur at the top of the atmosphere, but at a depth corresponding to a run for the collision of nuclei in the air. Therefore, the average depth of the shower maximum for this superposition is modified by the value of the path and is lower than X_{max} calculated from the diffusion equations of the nuclear cascade process. In the method the hydrodynamic model with $n_{ch} \sim E^{1/3}$ was used [6].

Let the distribution of the maximum depth of showers from primary nuclei have an exponential form with the first moment equal to their run for the nuclear interaction. Then, the average depth of the shower maximum for the sum of two exponential functions will be

$$\bar{X}_{max} = \eta \cdot X_p + (1 - \eta) \cdot X_{Fe} \quad (1)$$

where η – the fraction of protons in the primary cosmic radiation, X_p and X_{Fe} – the depth of maximum development of the primary proton and iron nuclei by the chosen model of the EAS. For the dispersion we have the X_{max} expression

$$D(X_{max}) = \beta \{ \eta \cdot \lambda_p^2 + \eta(1 - \eta) \cdot (X_p - X_{Fe})^2 + (1 - \eta) \cdot \lambda_{Fe}^2 \}. \quad (2)$$

^a e-mail: knurenko@ikfia.sbras.ru

^b e-mail: igor.petrov@ikfia.sbras.ru

Here λ_p and λ_{Fe} are respectively the path for the nuclear interaction of the proton and iron nuclei. The β multiplier takes into account the increase of the dispersion X_{max} due to fluctuations of the inelasticity coefficient and is taken to be $(1 - 1 < k >)^{-1}$, where k is the mean value of the inelasticity coefficient of the leading particle. If we assume that the ratio $\lambda_{Fe}(E)/\lambda_p(E)$ is constant and known, then Eqs. (1) and (2), in the framework of a two-component composition, can determine the proportion of protons in the primary radiation and the cross section of the proton – nucleus of an atom from the experimental values X_{max} and $D(X_{max})$. Technically, the above simplification can be extended to the multi-component composition of the primary particles, but the accuracy of formulae of type 1 and 2 for X_{max} and $D(X_{max})$ will be slightly worse.

We also found an indication for a gradual increase of the protons in the energy region $3 \cdot 10^{17}$ – $3 \cdot 10^{18}$ eV.

2.2. Method of comparing the asymmetry of distribution at different X_{max} for different fixed energies

This method does not depend upon models of air shower development. Since the distribution of X_{max} at fixed energy is formed by nuclei of different masses its shape will therefore reflect their contribution to a statistical contribution of X_{max} , g/cm². This is understandable, since showers produced by particles of different masses have either rapid development, for example the iron nucleus, or slow development as happens if we consider protons. In paper [2] the ideology of asymmetry of X_{max} distributions at different energies was used. Its essence is as follows. The value of the effective cross section for inelastic collisions of protons with air nuclei on the distribution of heights of the maxima of the EAS in the energy range of the primary particles 10^{17} – 10^{18} eV and 10^{18} – 10^{19} eV was studied. Right-hand side of such distributions is determined mainly by the effective cross section for inelastic collisions of protons with air nuclei. The height of the maximum development of the shower was determined by the spatial distribution of the Cherenkov light at distance ranges of 100–600 m from the shower axis.

Next, let us assume that at high energies only protons are present and ration the distribution at lower energies by the proton (deeper than 700 g/cm²), by simply subtracting the estimated fraction of nuclei in the primary radiation with energy $\sim 10^{17}$ – 10^{18} eV.

Thus the indication that in the energy range 10^{16} – 10^{19} eV an observed systematic increase in the fraction of protons was obtained: $\sim 1.2 \cdot 10^{16}$ eV – (43±5)%, $\sim 9 \cdot 10^{16}$ eV – (50±6)%, $\sim 5 \cdot 10^{17}$ eV – (60±10)% and $\sim 5 \cdot 10^{18}$ eV – (90±10)%.

2.3. Distribution of X_{max} shape analysis jointly with the calculated distribution using the QGSJET model by the maximum likelihood method

In this method we used experimental data of X_{max} at energies 10^{15} – 10^{19} eV and simulated showers according to the QGSJET 01 model [8]. Joint analysis of showers

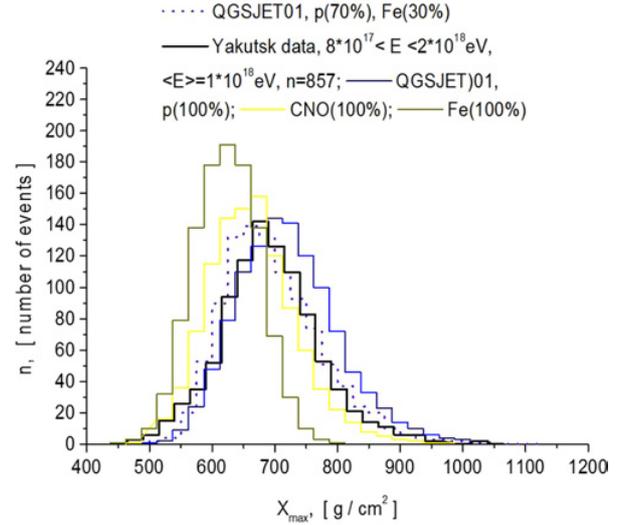


Figure 1. Distribution of X_{max} at fixed energy. Point result – test provided p (70%) and Fe (30%).

allowed us to obtain quantitative estimates of the mass composition of primary CRs, using the distribution of X_{max} at fixed energy [3]. To do this, we compared the experimental data and theoretical predictions according to QGSJET for different primary nuclei with applied criterion χ^2 . The χ^2 value was determined by the equation

$$\chi^2(X_m) = \sum_n (N_e(X_{max}) - N_T(X_{max}))^2 / N_T(X_{max}) \quad (3)$$

where $N_e(X_{max})$ – experimental number of showers in the range ΔX_{max} , $N_T(X_{max}, A_i)$ – the same number of showers, calculated under the assumption that the mass number of the nucleus is equal to A_i , and $P(A_i)$ – the probability that a storm of energy E_0 , is formed by the primary particle A_i . Then

$$N_T(n) = \sum_{i=1}^n P(A_i) \cdot N_T(X_{max}, A_i). \quad (4)$$

Analysis of the shape of the experimental and calculated distributions of X_{max} showed that at optimal value of χ^2 obtained result does not conflict with the following relationships for 5 nuclei components:

- 1). $\bar{E}_0 = 5 \cdot 10^{17}$ eV - p : (39 ± 11) % , α : (31 ± 13) % , M : (18 ± 10) % , H : (7 ± 6) % , Fe : (5 ± 4) % ;
- 2). $\bar{E}_0 = 1 \cdot 10^{18}$ eV - p : (41 ± 8) % , α : (32 ± 11) % , M : (16 ± 9) % , H : (6 ± 4) % , Fe : (5 ± 3) % ;
- 3). $\bar{E}_0 = 5 \cdot 10^{18}$ eV - p : (60 ± 14) % , α : (21 ± 13) % , M : (10 ± 8) % , H : (5 ± 4) % , Fe : (3 ± 3) % .

Thus, in the framework of QGSJET 01 an indication was found that the mass composition of the PCR in the transition from the energy range (5–30) · 10¹⁷ eV to (3–10) · 10¹⁸ eV changes. For $E_0 \cdot 3 \cdot 10^{18}$ eV primary cosmic radiation consists of $\sim 70\%$ of protons and helium nuclei, the proportion of other nuclei does not exceed $\sim 30\%$ (see Fig. 1).

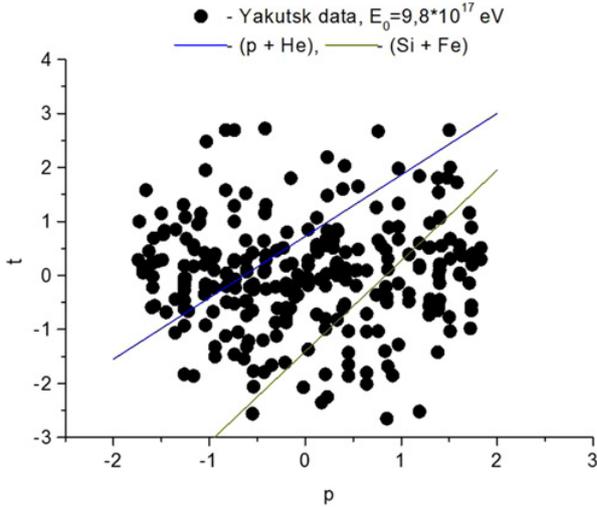


Figure 2. Standardized experimental data for X_{max} and $\rho_e(600)$ at different energies. Line m1 – divides nuclei (P + He) and C, line m2 – divides C and (Si + Fe).

2.4. Multicomponent analysis

In order to interpret the experimental data of the Yakutsk array we used CORSIKA code (v. 6.0. QGSJET model) to generate a database of X_{max} and $\rho_e(600)$. Simulations were made for five primaries (P, He, C, Si, Fe) and three energies 10^{17} , 10^{18} , 10^{19} eV. For each energy we simulated 100 showers in the standard atmosphere. In this paper, we used two-dimensional probability density $F(X_{max}, \rho_e(600))$, preliminary standardized experimental data of the entire array data ($X_{max}, \rho_e(600)$) for a given energy. At numerical implementation of this method instead of ($X_{max}, \rho_e(600)$) and used variables τ and ρ_e :

$$\tau = (X_{max}/\sigma_x) - \langle (X_{max}/\sigma_x) \rangle \quad (5)$$

$$\rho = (\lg \rho(600)/\sigma_{\lg \rho}) - \langle (\lg \rho(600)/\sigma_{\lg \rho}) \rangle \quad (6)$$

where σ is the standard deviation of the value.

Standardization performed on pooled data ($X_{max}, \rho_e(600)$) for all groups of nuclei and each energy 10^{17} , 10^{18} , 10^{19} eV. Distribution on X_{max} and $\rho_e(600)$ separately and joint distributions for τ and ρ are described respectively by dimensional $F(X_{max}) F(\rho(600))$ and two-dimensional $f(\tau, \rho)$ logarithmically normal distribution. For each given energy and different types of primary nuclei, including for nuclei, combined in groups P + He, C, Si + Fe, probability distribution density $f(\tau, \rho)$ were plotted (Fig. 2). The intersection of $f(\tau, \rho)$ layers gives lines m1 and m2, which optimally separates nuclei into 3 groups: (P + He), C and (Si + Fe) respectively.

Figure 2 shows the result of a multi-component analysis of the data binding ($X_{max}, \rho_e(600)$) of the Yakutsk array. A cloud of points in such a representation reflects standardized values, and lines represent areas that are directly associated with the mass number of the primary particle. In this case, the line m1 and lines m2 optimally separate nuclei into groups (P + He), C and (Si + Fe).

Analysis has shown that the proportion of nuclei (P + He) increases from 50% to 53%, and the proportion

of carbon nuclei from 23% to 31%. At the same time the proportion of nuclei of heavy chemical elements decreases from 27% to 16% when increasing energy from $2.4 \cdot 10^{17}$ to $4.8 \cdot 10^{18}$ eV.

2.5. Proportion of muons analysis method depending on the length of the track of the particles in the atmosphere

In paper [5] we considered the dependence ρ_μ/ρ_s the length of the track of the particles after the maximum of EAS $\Delta\lambda = X_0 / \cos\theta - X_{max}$ where $X_0 = 1020 \text{ g/cm}^2$ for Yakutsk. Here X_{max} was determined from measurements of the Cherenkov light and ρ_μ and ρ_s by measuring large EAS. Next, the experiment was compared with model calculations of QGSJETII 03 and EPOS (see Fig. 3). It is known that X_{max} of showers greatly differs depending on the primary nucleus, therefore, this fact can be used to analyze the mass composition of cosmic rays, for example, by fixing the parameter $\Delta\lambda$ and analyzing fluctuations in the ratio ρ_μ/ρ_s . This method is somewhat similar to that proposed by Christiansen in 1981 [10]. With sufficient precision of measurements of each parameter (better than 5%) in the distribution single peaks from different nuclei can be allocated.

Comparison of the distribution of the muon proportion with calculation indicates a mixed composition at energies above 10^{18} eV. Large fluctuations do not allow allocating separate groups of nuclei with a good precision nor evaluating the percentage of each group. But, the use of a pure response of muon detectors leads to the conclusion that the MC at energies $10^{18} - 10^{19}$ eV is light [11].

2.6. Evaluation of the mass composition at average depth of maximum of EAS development. Interpolation method

In papers [9, 12], the dependence of X_{max} on energy in the range $\sim 10^{15}$ to $5 \cdot 10^{19}$ eV was considered. The MC of PCR was evaluated by this formula:

$$\langle \ln A \rangle \equiv \sum a_i \cdot \ln A_i \quad (7)$$

where a_i is the relative proportion of nuclei with mass number A_i .

In each case experimental data was compared with QGSJET 03 calculations for proton and iron in the frame of the superposition model:

$$\langle \ln A \rangle = ((P^{exp} - P^p)/(P^{Fe} - P^p)) \cdot \ln A_{Fe} \quad (8)$$

where P_i – the parameter that characterizes the longitudinal development of air showers X_{max} .

Figure 4 shows the dependence of X_{max} on energy (dots) derived from experiment and from simulation (lines) of this characteristic calculated using the QGSJET 03 and SIBYLL model for proton and iron nuclei. Figure 5 shows Yakutsk array results of MC PCR derived by the method described above. The data was obtained in the frame of QGSJETII 03 model and dual component MC (proton iron). The value $\langle \ln(A) \rangle$ was determined by the interpolation method in each case.

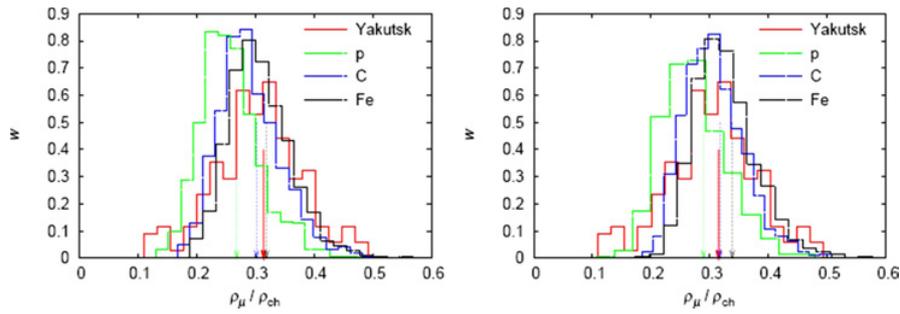


Figure 3. A distribution of the ρ_μ/ρ_s relation normalized to the track length 500 g/cm^2 . On the left – according to models QGSJET II(FLUKA), on the right – according to EPOS(UrQMD).

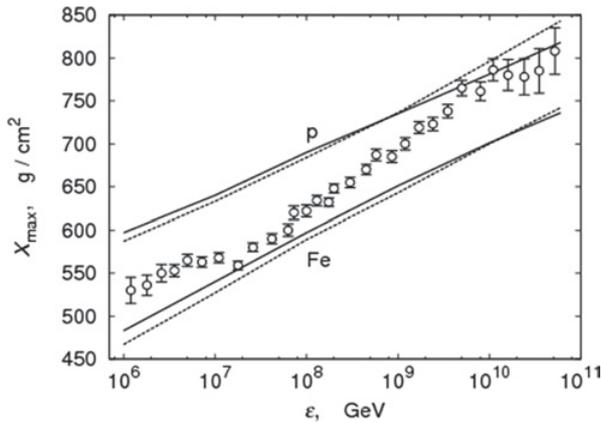


Figure 4. Dependence of X_{max} on energy. Lines are calculated values for proton and iron nuclei.

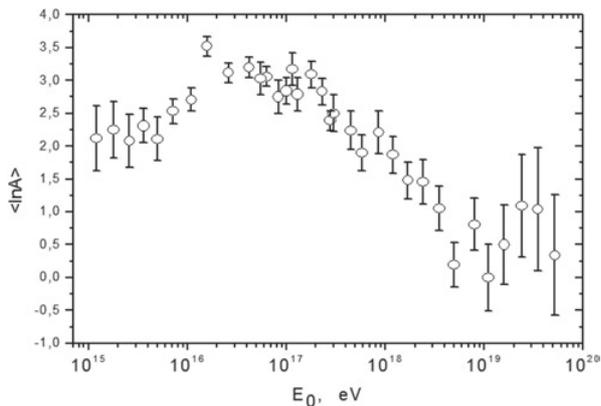


Figure 5. The mass composition of the highest energy Cosmic rays is obtained at Yakutsk. Model QGSJETII-03.

Figure 5 shows that the nature of the dependence of $\langle \ln A \rangle$ with increasing energy changes reaching a maximum in the energy range $(5-30) \cdot 10^{16} \text{ eV}$. This means, that the MC of PCR changes after the first kink in the spectrum at $\sim 3 \cdot 10^{15} \text{ eV}$, requiring heavier particles at $(3-30) \cdot 10^{16} \text{ eV}$ and then, starting at $3 \cdot 10^{17} \text{ eV}$, becomes much lighter.

3. Conclusion

a) For more than 40 years the Yakutsk array has continuously recorded ultrahigh energy air showers. We obtain information about all the main components of

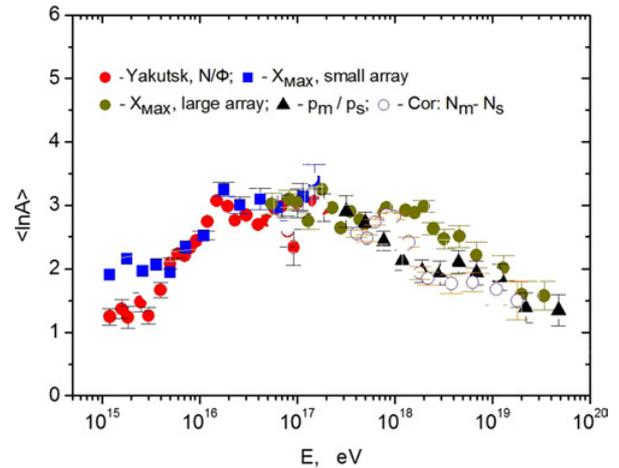


Figure 6. Estimation of MC CR with different methods using different characteristics of air showers.

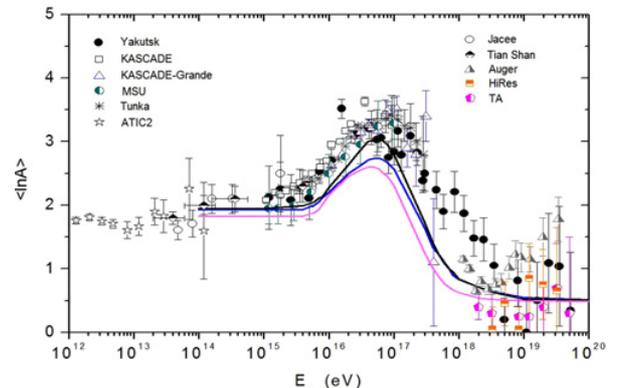


Figure 7. Mass composition of CRs from measurements of different EAS arrays. Lines show MC calculated from paper [16] in the case of near SNR.

the shower: electrons, photons, hadrons and muons. All these data from different times were used to estimate the mass composition of cosmic rays using different methods. This follows from numerous publications in journals and proceedings of scientific conferences. According to the data shown in Fig. 6 [13] the mass composition is not uniform over a wide energy range, and has a peak at $(0.8-2) \cdot 10^{17} \text{ eV}$.

Figure 7 shows the latest results on the MC obtained using the interpolation method (see Sect. 2.6) and the QGSJET 04 model. The figure also shows results obtained at other compact and large arrays. From Fig. 7 it follows

that the MC is undergoing a change in the energy range $(8-20) \cdot 10^{16}$ eV and $(8-20) \cdot 10^{18}$ eV. Most likely this is due to the nature of the formation of cosmic rays in the sources and their distribution in galactic and intergalactic space.

b) At Yakutsk we measured the energy spectrum of CR [14,15] and evaluated the MC over a wide energy range [16]. If we compare the energy scale with the studied CR spectrum and obtained results of the cosmic ray MC, than we observe a matching of energy intervals, where the change in the shape of the spectrum and the change in the value of $A = \langle \ln A \rangle$ are the same. Most likely, these two results are related and caused by the same astrophysical processes.

c) For the boundary of the transition from galactic to metagalactic cosmic rays recently developed nonlinear kinetic theories of CR acceleration in supernova remnants has allowed not only to achieve agreement in the shape of the CR spectrum up to energies $\sim 10^{17}$ with experimental data, but also to choose a class of SNR, which is responsible for the MC of particles similar to those observed in satellite, balloon and ground experiments [9]. This is confirmed by the results of the calculation of work [16], which are shown in Fig. 7 (lines). Figure 7 shows a comparison of MC obtained at different arrays, with MC generated in the sources, which are supernova remnants. There is not only a satisfactory agreement of experimental data with calculation in the energy range $10^{15}-10^{19}$ eV, but also an indication that the sharp change in the MC at $\sim 2 \cdot 10^{17}$ eV may be associated with the boundary of the transition from galactic CR to metagalactic CR. In this case the mass composition of CRs at energies above $\sim 2 \cdot 10^{17}$ eV should be represented primarily by protons, which is consistent with the mass composition obtained by the Yakutsk EAS array.

The research was supported by “Scientific and Educational Foundation for Young Scientists of Republic of Sakha (Yakutia)”

(no. 201302010098), Presidium SB RAS (integration project “Modernization of Yakutsk array”), RFBR (grant 13-02-12036 ofi.m).

References

- [1] M.N. Dyakonov, V.P. Egorova, A.A.Ivanov, S.P. Knurenko *et.al.* Proc. 20th ICRC. **6**, 147–150 (1987)
- [2] M. N. Dyakonov, V.P. Egorova, A.A. Ivanov, S.P. Knurenko *et al.* JETP Letters. **50**, 408–410(1989)
- [3] S.P. Knurenko, A.A. Ivanov, V.A. Kolosov *et al.* Intern. Jour. of Modern Physics, **20**, 6894–6897 (2005)
- [4] S.P. Knurenko, A.A. Ivanov, M.I. Pravdin *et al.* Nucl. Phys. B (Pros. Suppl.), 201–206 (2008)
- [5] S. P. Knurenko, A. K. Makarov, M. I. Pravdin, A. V. Sabourov. Bulletin of the Russian Academy of Sciences, **75**, 320–322 (2011)
- [6] L.D. Landau. Izv. AS USSR, **17** (1953)
- [7] A.V. Kaidalov *et al.* Nuclear Physics, **43**, 1282 (1986)
- [8] A.V. Kaidalov *et al.* Izv. AS USSR, **50**, 2087–2090 (1986)
- [9] E. G. Berezhko, S. P. Knurenko, L. T. Ksenofontov. Astrop. Phys. **36**, 31–36 (2012)
- [10] V. B. Atrashkevich, N. N. Kalmykov, G. B. Christiansen. JETP Letters, **33**, 236–239 (1981)
- [11] S. P. Knurenko, I. T. Makarov, M. I. Pravdin, A. V. Sabourov. Proc. XVI Intern. Symp. (2010)
- [12] S. P. Knurenko, A. V. Sabourov. Astrophys. Space. Sci. Trans. **7**, 251–255 (2011). doi: 10.5194/astra-7-251-2011
- [13] S.P. Knurenko, A.A. Ivanov, A.V. Sabourov. JETP Letters. **86**, 709–712 (2007)
- [14] A.A. Ivanov, S.P. Knurenko, I. Ye. Sleptsov. New J. Phys. **11**, 065008 (2009)
- [15] S.P. Knurenko *et al.* Proc 33 ICRC (2013)
- [16] K. Kotera & M. Lemoine. (2008) [arXiv: 0706.1891v2](https://arxiv.org/abs/0706.1891v2) [astro-Ph]