

# On a ‘time’ reparametrization in relativistic electrodynamics with travelling waves

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**Abstract.** We briefly report on our method [23] of simplifying the equations of motion of charged particles in an electromagnetic (EM) field that is the sum of a plane travelling wave and a static part; it is based on changes of the dependent variables and the independent one (light-like coordinate  $\xi$  instead of time  $t$ ). We sketch its application to a few cases of extreme laser-induced accelerations, both in vacuum and in plane problems at the vacuum-plasma interface, where we are able to reduce the system of the (Lorentz-Maxwell and continuity) partial differential equations into a family of decoupled systems of Hamilton equations in 1 dimension. Since Fourier analysis plays no role, the method can be applied to all kind of travelling waves, ranging from almost monochromatic to so-called “impulses”.

## 1 Introduction and set-up

The equation of motion of a particle with charge  $q$  in external electric and magnetic fields  $\mathbf{E}(\mathbf{x}), \mathbf{B}(\mathbf{x})$  [ $x \equiv (ct, \mathbf{x})$ ] in its general form is non-autonomous and highly nonlinear:

$$\begin{aligned} \dot{\mathbf{p}}(t) &= q\mathbf{E}[ct, \mathbf{x}(t)] + q\boldsymbol{\beta}(t) \wedge \mathbf{B}[ct, \mathbf{x}(t)], \\ \dot{\mathbf{x}}(t) &= \frac{c\mathbf{p}(t)}{\sqrt{m^2c^2 + \mathbf{p}^2(t)}}; \end{aligned} \quad (1)$$

here  $\boldsymbol{\beta} \equiv \mathbf{v}/c$ ,  $\mathbf{p} \equiv m\mathbf{v}/\sqrt{1-\boldsymbol{\beta}^2}$  is its relativistic momentum.

Usually, (1) is simplified assuming:

1.  $\mathbf{E}, \mathbf{B}$  are constant or vary “slowly” in space/time; or
2.  $\mathbf{E}, \mathbf{B}$  are “small” (so that nonlinear effects in  $\mathbf{E}, \mathbf{B}$  are negligible); or
3.  $\mathbf{E}, \mathbf{B}$  are monochromatic waves, or slow modulations of; or
4. the motion of the particle keeps non-relativistic.

The astonishing developments of Laser technologies (especially *Chirped Pulse Amplification* [2, 3]) today allow the construction of compact sources of extremely intense (up to  $10^{23}$  W/cm<sup>2</sup>) coherent EM waves, possibly concentrated in very short laser pulses ( $\gtrsim$  fs). Even more intense/short (or cheaper) laser pulses by new technologies (thin film compression [4], etc.) will be soon available. In particular, these lasers can be used for making small particle-accelerators based on Laser Wake Field Acceleration (LWFA) [5] in plasmas. Extreme conditions are present also in several violent astrophysical processes (see

e.g. [6] and references therein). In either case the effects are so fast, huge, highly nonlinear, ultra-relativistic that conditions 1-4 are not fulfilled. Alternative simplifying approaches are therefore desirable.

Here we summarize a new approach [23] that is especially fruitful if in the spacetime region  $\Omega$  of interest (i.e., where we wish to follow the charged particles’ worldlines)  $\mathbf{E}, \mathbf{B}$  can be decomposed into a static part and a plane transverse travelling wave propagating in the  $z$  direction:

$$\begin{aligned} \mathbf{E}(\mathbf{x}) &= \underbrace{\boldsymbol{\epsilon}^\perp(ct-z)}_{\text{pump=travelling wave}} + \underbrace{\mathbf{E}_s(\mathbf{x})}_{\text{static}}, \\ \mathbf{B}(\mathbf{x}) &= \underbrace{\mathbf{k} \wedge \boldsymbol{\epsilon}^\perp(ct-z)}_{\text{travelling wave}} + \underbrace{\mathbf{B}_s(\mathbf{x})}_{\text{static}}, \end{aligned} \quad (2)$$

$\mathbf{x} = x\mathbf{i} + y\mathbf{j} + z\mathbf{k}$ ,  $\boldsymbol{\epsilon}^\perp \perp \mathbf{k}$ . We decompose vectors as  $\mathbf{u} = \mathbf{u}^\perp + u^\parallel \mathbf{k}$ . We assume *only* that  $\boldsymbol{\epsilon}^\perp(\xi)$  is piecewise continuous and

$$\mathbf{a)} \quad \boldsymbol{\epsilon}^\perp \text{ has a compact support } [0, l], \quad (3)$$

$$\text{or } \mathbf{a')} \quad \boldsymbol{\epsilon}^\perp \in L^1(\mathbb{R}),$$

$$\Rightarrow \boldsymbol{\alpha}^\perp(\xi) \equiv - \int_{-\infty}^{\xi} dy \boldsymbol{\epsilon}^\perp(y) \rightarrow 0 \quad \text{as } \xi \rightarrow -\infty; \quad (4)$$

$\boldsymbol{\alpha}^\perp$  is the travelling-wave part of the transverse EM potential  $\mathbf{A}^\perp$ .  $\mathbf{a)} \Rightarrow \boldsymbol{\alpha}^\perp(\xi) = 0$  if  $\xi \leq 0$ ,  $\boldsymbol{\alpha}^\perp(\xi) = \boldsymbol{\alpha}^\perp(l)$  if  $\xi \geq l$ . We can treat on the same footing all such  $\boldsymbol{\epsilon}^\perp$ , in particular:

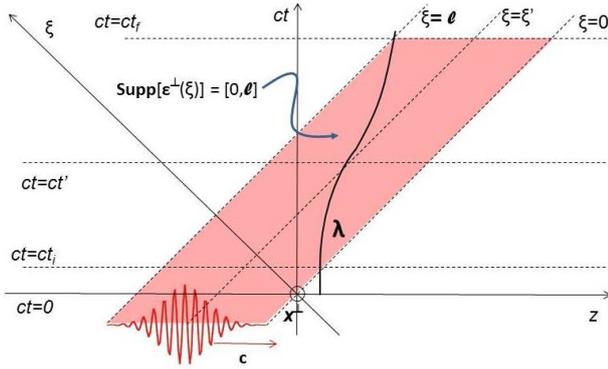
1. A modulated monochromatic wave fulfilling (3):

$$\boldsymbol{\epsilon}^\perp(\xi) = \underbrace{\boldsymbol{\epsilon}(\xi)}_{\text{modul.}} \underbrace{[\mathbf{i}a_1 \cos(k\xi + \varphi) + \mathbf{j}a_2 \sin(k\xi)]}_{\text{carrier wave } \boldsymbol{\epsilon}_o^\perp(\xi)} \quad (5)$$

$$\Rightarrow -\boldsymbol{\alpha}^\perp(\xi) = \frac{\boldsymbol{\epsilon}(\xi)}{k^2} \boldsymbol{\epsilon}_o^{\perp\prime}(\xi) + O\left(\frac{1}{k^2}\right) \simeq \frac{\boldsymbol{\epsilon}(\xi)}{k^2} \boldsymbol{\epsilon}_o^{\perp\prime}(\xi); \quad (6)$$

$\simeq$  holds if  $|\boldsymbol{\epsilon}'| \ll |k\boldsymbol{\epsilon}|$  (slow modulation).

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**Figure 1.** Every worldline  $\lambda$  and hyperplane  $\xi=C$  intersect once.

2. A superposition of waves of type 1.
3. An ‘impulse’ (few cycles, or even a fraction of).

The idea is: as no particle can reach the speed of light  $c$ ,  $\xi(t) = ct - z(t)$  is strictly growing, and we can adopt  $\xi = ct - z$  as a parameter on the worldline  $\lambda$  (see fig. 1) and in the action functional of the particle:

$$S(\lambda) = - \int_{\lambda} mc^2 d\tau + qA(x) \\ = - \int_{t_0}^{t_1} dt \underbrace{\frac{mc^2 + q\hat{u}^\mu A_\mu}{\gamma}}_{L[x, \hat{x}, t]} = - \int_{\xi_0}^{\xi_1} \frac{d\xi}{c} \underbrace{\frac{mc^2 + q\hat{u}^\mu \hat{A}_\mu}{\hat{s}}}_{L[\hat{x}, \hat{x}', \xi]}$$

$(u^\mu) = (u^0, \mathbf{u}) \equiv (\gamma, \gamma\boldsymbol{\beta})$  is the 4-velocity, i.e. the dimensionless version of the 4-momentum.  $A(x) = A_\mu(x)dx^\mu = A^0(x)cdt - \mathbf{A}(x) \cdot d\mathbf{x}$  is the EM potential 1-form,  $\mathbf{E} = -\partial_t \mathbf{A}/c - \nabla A^0$ ,  $\mathbf{B} = \nabla \wedge \mathbf{A}$  (we use Gauss CGS units), and we denote  $\hat{x}(\xi) = \mathbf{x}(t)$ ,  $\hat{f}(\xi, \hat{x}) \equiv f(ct, \mathbf{x})$ ,  $\hat{f}' \equiv d\hat{f}/d\xi$  for all functions  $f(ct, \mathbf{x})$ . Applying Hamilton’s principle and the Legendre transform we find simplified Lagrange, and Hamilton equations where the argument of  $\epsilon^\pm$  is the independent variable  $\xi$ , rather than the unknown  $ct - z(t)$ , and the new kinetic momenta are the dependent variables.

## 2 General results for one particle

To parametrize  $\lambda$  by  $\xi$  we have to replace  $d\tau/dt = 1/\gamma = \sqrt{1 - \hat{x}^2/c^2}$  ( $\tau$  is the particle proper time) by

$$\frac{1}{\hat{s}} \equiv \frac{d(ct)}{d\xi} = \sqrt{1 + 2\hat{z}' - \hat{x}^{\prime 2}} > 0. \quad (7)$$

From  $\mathbf{p} = m d\mathbf{x}/dt$ ,  $\gamma = dt/d\tau$  we find that the  $s$ -factor  $\hat{s}$  is the light-like component  $\hat{u}^- = \hat{\gamma} - \hat{u}^z$  of the 4-velocity  $u = (u^0, \mathbf{u}) \equiv (\gamma, \gamma\boldsymbol{\beta}) = (\frac{p^0}{mc^2}, \frac{\mathbf{p}}{mc})$  (all these are dimensionless), and  $\hat{\mathbf{u}} = \hat{s}\hat{\boldsymbol{\beta}}'$ .  $\hat{\gamma}, \hat{u}^z, \hat{\boldsymbol{\beta}}, \hat{\boldsymbol{\beta}}'$  can be expressed as *rational functions* of  $\hat{\mathbf{u}}^\pm, \hat{s}$ :

$$\hat{\gamma} = \frac{1 + \hat{\mathbf{u}}^{\pm 2} + \hat{s}^2}{2\hat{s}}, \quad \hat{u}^z = \hat{\gamma} - \hat{s}, \quad \hat{\boldsymbol{\beta}} = \frac{\hat{\mathbf{u}}}{\hat{\gamma}}, \quad (8)$$

$$\hat{\boldsymbol{\beta}}' = \frac{\hat{\mathbf{u}}^\pm}{\hat{s}}, \quad \hat{z}' = \frac{1 + \hat{\mathbf{u}}^{\pm 2}}{2\hat{s}^2} - \frac{1}{2} \quad (9)$$

By Hamilton’s principle, any extremum  $\lambda$  of  $S$  is the worldline of a possible motion of the particle with initial position  $\mathbf{x}_0$  at time  $t_0$  and final position  $\mathbf{x}_1$  at time  $t_1$ . Hence it fulfills Euler-Lagrange equations in both forms  $\frac{d}{dt} \frac{\partial L}{\partial \dot{\mathbf{x}}} = \frac{\partial L}{\partial \mathbf{x}}$  and  $\frac{d}{d\xi} \frac{\partial \mathcal{L}}{\partial \hat{\mathbf{x}}'} = \frac{\partial \mathcal{L}}{\partial \hat{\mathbf{x}}}$ , equivalent to (1). The Legendre transform yields the Hamiltonians  $H \equiv \hat{\mathbf{x}} \cdot \frac{\partial L}{\partial \dot{\mathbf{x}}} - L = \gamma mc^2 + qA^0$  and  $\hat{H} \equiv \hat{\mathbf{x}}' \cdot \frac{\partial \mathcal{L}}{\partial \hat{\mathbf{x}}'} - \mathcal{L} = \hat{\gamma} mc^2 + q\hat{A}^0$ .  $\hat{H}$  is a *rational function* of  $\hat{\mathbf{x}}, \hat{\mathbf{P}} \equiv \frac{\partial \mathcal{L}}{\partial \hat{\mathbf{x}}'}$ , or, equivalently, of  $\hat{s}, \hat{\mathbf{u}}^\pm$ :

$$\hat{H}(\hat{\mathbf{x}}, \hat{\mathbf{P}}; \xi) = mc^2 \frac{1 + \hat{s}^2 + \hat{\mathbf{u}}^{\pm 2}}{2\hat{s}} + q\hat{A}^0(\xi, \hat{\mathbf{x}}), \quad (10)$$

$$\text{where } \begin{cases} mc^2 \hat{\mathbf{u}}^\pm = \hat{\mathbf{P}}^\pm - q\hat{\mathbf{A}}^\pm(\xi, \hat{\mathbf{x}}), \\ mc^2 \hat{s} = -\hat{\mathbf{P}}^z - q\hat{A}^-(\xi, \hat{\mathbf{x}}), \end{cases} \quad (11)$$

while  $H(\mathbf{x}, \mathbf{P}, t) = \sqrt{m^2 c^4 + (c\mathbf{P} - q\mathbf{A})^2} + qA^0$  ( $\mathbf{P} \equiv \frac{\partial L}{\partial \dot{\mathbf{x}}} = \mathbf{p} + \frac{q}{c}\mathbf{A}$ ) is not. Eq. (1) are also equivalent to the Hamilton equations  $\hat{\mathbf{x}}' = \frac{\partial \hat{H}}{\partial \hat{\mathbf{P}}}$ ,  $\hat{\mathbf{P}}' = -\frac{\partial \hat{H}}{\partial \hat{\mathbf{x}}}$ . All the new equations (in particular these ones) can be also obtained more directly from the old ones by putting a caret on all dynamical variables and replacing  $d/dt$  by  $(c\hat{s}/\hat{\gamma})d/d\xi$ . Along the solutions  $\hat{H}$  gives the particle energy as a function of  $\xi$ . Under the EM field (2) eqs (1) amount to (9) and

$$\hat{\mathbf{u}}^{\prime \pm} = \frac{q}{mc^2} \left[ (1 + \hat{z}') \hat{\mathbf{E}}_s^\pm + (\hat{\mathbf{x}}' \wedge \hat{\mathbf{B}}_s)^\pm + \boldsymbol{\epsilon}^\pm(\xi) \right], \quad (12)$$

$$\hat{s}' = \frac{-q}{mc^2} \left[ \hat{E}_s^z - \hat{\mathbf{x}}^{\prime \pm} \cdot \hat{\mathbf{E}}_s^\pm + (\hat{\mathbf{x}}^{\prime \pm} \wedge \hat{\mathbf{B}}_s^{\pm z}) \right],$$

while the *energy gain* (normalized to  $mc^2$ ) is

$$\mathcal{E} \equiv \frac{\hat{H}(\xi_1) - \hat{H}(\xi_0)}{mc^2} = \int_{\xi_0}^{\xi_1} d\xi q\boldsymbol{\epsilon}^\pm \cdot \frac{\hat{\mathbf{u}}^\pm}{\hat{s}} \quad (13)$$

in the interval  $[\xi_0, \xi_1]$ . Once solved (9-12), analytically or numerically, to obtain the solution as a function of  $t$  we just need to invert  $\hat{t}(\xi) = \xi + \hat{z}(\xi)$  and set  $\mathbf{x}(t) = \hat{\mathbf{x}}[\xi(t)]$ . If  $\mathbf{E}_s, \mathbf{B}_s = \text{const}$  then eq. (12) are solved by

$$\hat{\mathbf{u}}^\pm = \frac{q}{mc^2} \left[ \mathbf{K} - \boldsymbol{\alpha}(\xi) + (\xi + \hat{z}) \mathbf{E}_s + \hat{\mathbf{x}} \wedge \mathbf{B}_s \right]^\pm, \quad (14)$$

$$\hat{s} = \frac{-q}{mc^2} \left[ K^z + \xi E_s^z - \hat{\mathbf{x}}^\pm \cdot \mathbf{E}_s^\pm + (\hat{\mathbf{x}}^\pm \wedge \mathbf{B}_s^{\pm z}) \right]$$

( $K^j$  are integration constants) whereby (9) become three 1<sup>st</sup> order ordinary differential equations (ODE) *rational* in the unknown  $\hat{\mathbf{x}}(\xi)$ .

Contrary to (9-12), (1) is a transcendental system, and the unknown  $z(t)$  appears in the argument of the rapidly varying functions  $\boldsymbol{\epsilon}^\pm, \boldsymbol{\alpha}^\pm$  in (1)<sub>1</sub>, which now reads:

$$\frac{1}{q} \hat{\mathbf{p}}(t) = \mathbf{E}_s + \boldsymbol{\beta} \wedge \mathbf{B}_s + \boldsymbol{\epsilon}^\pm [ct - z(t)] (\boldsymbol{\beta} \mathbf{k} + 1 - \boldsymbol{\beta}^z).$$

Also determining  $\mathcal{E}(t)$  is more complicated.

### 2.1 Dynamics under $A^\mu = A^\mu(t, z)$

This applies in particular to (2) if  $\mathbf{E}_s = E_s^z(z)\mathbf{k}$ ,  $\mathbf{B}_s = \mathbf{B}_s^\pm(z)$ , choosing e.g.  $A^0 = -\int^z d\zeta E_s^z(\zeta)$ ,  $\mathbf{A}^\pm = \boldsymbol{\alpha}^\pm - \mathbf{k} \wedge \int^z d\zeta \mathbf{B}^\pm(\zeta)$ ,  $A^z \equiv 0$ . As  $\partial \hat{H} / \partial \hat{\mathbf{x}}^\pm = 0$ , we find  $\hat{\mathbf{P}}^\pm = q\mathbf{K}^\pm = \text{const}$ , i.e. the known result  $\frac{mc^2}{q} \hat{\mathbf{u}}^\pm = \mathbf{K}^\pm - \hat{\mathbf{A}}^\pm(\xi, \hat{z})$ . Setting  $v := \hat{\mathbf{u}}^{\pm 2}$  and replacing in (9)<sub>2</sub>, (12)<sub>2</sub> we obtain

$$\hat{z}' = \frac{1 + \hat{v}}{2\hat{s}^2} - \frac{1}{2}, \quad \hat{s}' = \frac{-q}{mc^2} E_s^z(\hat{z}) - \frac{1}{2\hat{s}} \frac{\partial \hat{v}}{\partial \hat{z}}. \quad (15)$$

Once solved the system (15) in the unknowns  $\hat{z}(\xi), \hat{s}(\xi)$ , the other unknowns are obtained from

$$\hat{\mathbf{x}}(\xi) = \mathbf{x}_0 + \hat{\mathbf{Y}}(\xi), \quad \hat{\mathbf{Y}}(\xi) \equiv \int_{\xi_0}^{\xi} dy \frac{\hat{\mathbf{u}}(y)}{\hat{s}(y)}. \quad (16)$$

If in addition  $\mathbf{B}_s \equiv 0$ , then  $\mathbf{A}_s \equiv 0$  (in the Coulomb gauge),  $\hat{\mathbf{u}}^{\perp}(\xi) = \frac{q}{mc^2} [\mathbf{K}^{\perp} - \alpha^{\perp}(\xi)]$  and  $\hat{v} = \hat{u}^z$  are already known. The system (15) to be solved simplifies to

$$\hat{z}' = \frac{1 + \hat{v}}{2\hat{s}^2} - \frac{1}{2}, \quad \hat{s}' = \frac{-q}{mc^2} E_s^z(\hat{z}). \quad (17)$$

Some remarkable properties of the solutions are [23]:

1. Where  $\epsilon^{\perp}(\xi) = 0$  then  $\hat{v}(\xi) = v_c = \text{const}$ ,  $\hat{H}$  is conserved, (17) is solved by quadrature.
2. The final transverse momentum is  $mc\mathbf{u}^{\perp}(\xi_f)$ . If  $\epsilon$  of (5) varies slowly and  $\mathbf{u}^{\perp}(0) = \mathbf{0}$ , then  $\mathbf{u}^{\perp}(\xi_f) \approx \mathbf{0}$ .
3.  $\hat{s}(\xi)$  is insensitive to fast oscillations of  $\epsilon^{\perp}$ , contrary to  $\mathbf{u}, \gamma, \beta$ , which can be reobtained via (8).

### 3 Some exact solutions for $\mathbf{B}_s, \mathbf{E}_s = \text{const}$

Let  $\mathbf{b}^{\perp} + b\mathbf{k} \equiv q\mathbf{B}_s/mc^2$ ,  $\mathbf{e}^{\perp} \equiv q\mathbf{E}_s^{\perp}/mc^2$  (constants),  $\mathbf{w}(\xi) \equiv q[\mathbf{K} - \alpha^{\perp}(\xi) + \xi\mathbf{E}_s]/mc^2$  (all dimensionless); (14) take the more explicit form

$$\begin{aligned} \hat{u}^x &= (e^x - b^y)\hat{z} + b\hat{y} + w^x(\xi), \\ \hat{u}^y &= (e^y + b^x)\hat{z} - b\hat{x} + w^y(\xi), \\ \hat{s} &= (e^x - b^y)\hat{x} + (e^y + b^x)\hat{y} - w^z(\xi), \end{aligned} \quad (18)$$

For any  $E_s^z, B_s^z, \mathbf{E}_s^{\perp}$ , if  $\mathbf{B}_s^{\perp} = \mathbf{k} \wedge \mathbf{E}_s^{\perp}$ , setting  $\kappa \equiv \frac{qE_s^z}{mc^2}$  we find the following exact solutions (part of them are new):

$$\begin{aligned} (\hat{x} + i\hat{y})(\xi) &= (1 - \kappa\xi)^{ib/k} \int_0^{\xi} d\zeta \frac{(w^x + iw^y)(\zeta)}{(1 - \kappa\zeta)^{1+ib/k}}, \\ \hat{z}(\xi) &= \int_0^{\xi} \frac{d\zeta}{2} \left[ \frac{1}{(1 - \kappa\zeta)^2} + \hat{\mathbf{x}}^{\perp 2}(\zeta) - 1 \right], \quad \hat{s}(\xi) = 1 - \kappa\xi, \\ \hat{\mathbf{u}}^{\perp}(\xi) &= (1 - \kappa\xi) \hat{\mathbf{x}}^{\perp}(\xi), \quad \hat{\gamma}(\xi) = 1 - \kappa\xi + \hat{u}^z(\xi) \end{aligned} \quad (19)$$

$$\hat{u}^z(\xi) = \frac{1}{2(1 - \kappa\xi)} + (1 - \kappa\xi) \frac{\hat{\mathbf{x}}^{\perp 2}(\xi) - 1}{2};$$

here we have adopted the initial conditions  $\mathbf{x}(0) = \mathbf{0} = \mathbf{u}(0)$ . We next analyze a few special cases.

#### 3.1 Case $\mathbf{E}_s = \mathbf{B}_s = \mathbf{0}$ (zero static fields)

Then (19) becomes [7, 8]:

$$\hat{s} \equiv 1, \quad \hat{\mathbf{u}}^{\perp} = \frac{-q\alpha^{\perp}}{mc^2}, \quad \hat{u}^z = \frac{\hat{\mathbf{u}}^{\perp 2}}{2}, \quad \hat{\gamma} = 1 + \hat{u}^z \quad (20)$$

$$\hat{z}(\xi) = \int_{\xi_0}^{\xi} dy \frac{\hat{\mathbf{u}}^{\perp 2}(y)}{2}, \quad \hat{\mathbf{x}}^{\perp}(\xi) = \int_{\xi_0}^{\xi} dy \hat{\mathbf{u}}^{\perp}(y).$$

The solutions (20) induced by two  $x$ -polarized pulses and the corresponding  $e^{-}$  trajectories in the  $zx$  plane are shown in fig. 2. Note that:

- The maxima of  $\gamma, \alpha^{\perp}$  coincide (and approximately also of  $\epsilon(\xi)$ , if  $\epsilon(\xi)$  is slowly varying).

- Since  $u^z \geq 0$ , the  $z$ -drift is positive-definite. Rescaling  $\epsilon^{\perp} \mapsto a\epsilon^{\perp}$ ,  $\hat{\mathbf{x}}^{\perp}, \hat{\mathbf{u}}^{\perp}$  scale like  $a$ , whereas  $\hat{z}, \hat{u}^z$  scale like  $a^2$  (hence the trajectory goes to a straight line in the limit  $a \rightarrow \infty$ ). This is due to magnetic force  $q\beta \wedge \mathbf{B}$ .

- **Corollary** The final  $u$  and energy gain read

$$\mathbf{u}_f^{\perp} = \hat{\mathbf{u}}^{\perp}(\infty), \quad u_f^z = \mathcal{E}_f = \frac{1}{2} \mathbf{u}_f^{\perp 2} = \gamma_f - 1; \quad (21)$$

Both are very small if the pulse modulation  $\epsilon$  is slow [extremely small if  $\epsilon \in \mathcal{S}(\mathbb{R})$  or  $\epsilon \in C_c^{\infty}(\mathbb{R})$ ].

Recall the *Lawson-Woodward Theorem* [10–13] (an outgrowth of the original Woodward-Lawson Theorem [14, 15]): in spite of large energy variations during the interaction, the final energy gain  $\mathcal{E}_f$  of a charged particle  $\mathcal{P}$  interacting with an EM field is zero if:

- i) the interaction occurs in  $\mathbb{R}^3$  vacuum (no boundaries);
- ii)  $\mathbf{E}_s = \mathbf{B}_s = \mathbf{0}$  and  $\epsilon^{\perp}$  is slowly modulated;
- iii)  $v^z \approx c$  along the whole acceleration path;
- iv) nonlinear (in  $\epsilon^{\perp}$ ) effects  $q\beta \wedge \mathbf{B}$  are negligible;
- v) the power radiated by  $\mathcal{P}$  is negligible.

Our Corollary, as Ref. [9], states the same result if we relax iii), iv), but the EM field is a *plane* travelling wave.

To obtain a non-zero  $\mathcal{E}_f$  one has to violate some other conditions of the theorem, as e.g. we see in next cases.

#### 3.2 Case $\mathbf{E}_s = 0, \mathbf{B}_s = B_s^z \mathbf{k}$

Then (19) becomes  $\hat{s} \equiv 1$  and

$$\begin{aligned} (\hat{x} + i\hat{y})(\xi) &= \int_0^{\xi} d\zeta e^{ib(\zeta - \xi)} (w^x + iw^y)(\zeta), \quad \hat{\mathbf{u}}^{\perp} = \hat{\mathbf{x}}^{\perp}, \\ \hat{u}^z = \hat{z}' &= \frac{\hat{\mathbf{u}}^{\perp 2}}{2} = \mathcal{E} = \hat{\gamma} - 1, \quad \hat{z}(\xi) = \int_0^{\xi} d\zeta \frac{\hat{\mathbf{u}}^{\perp 2}(\zeta)}{2}. \end{aligned} \quad (22)$$

(22) reduces to the solution of [16, 17] for monochromatic  $\epsilon^{\perp}$ . This leads to *cyclotron autoresonance* if  $-b = k = \frac{2\pi}{\lambda} \gg \frac{1}{l}$ : for circular polarization  $w^x(\xi) + iw^y(\xi) \approx e^{ik\xi} w(\xi)$ ,

$$(\hat{x} + i\hat{y})(\xi) \approx iW(\xi)e^{ik\xi}, \quad W(\xi) \equiv \int_0^{\xi} d\zeta w(\zeta) > 0$$

where  $w(\xi) \equiv q\epsilon(\xi)/kmc^2$ ; clearly  $W(\xi)$  grows with  $\xi$ . In particular if  $\epsilon^{\perp}(\xi) = \mathbf{0}$  for  $\xi \geq l \equiv$ , then for such  $\xi$

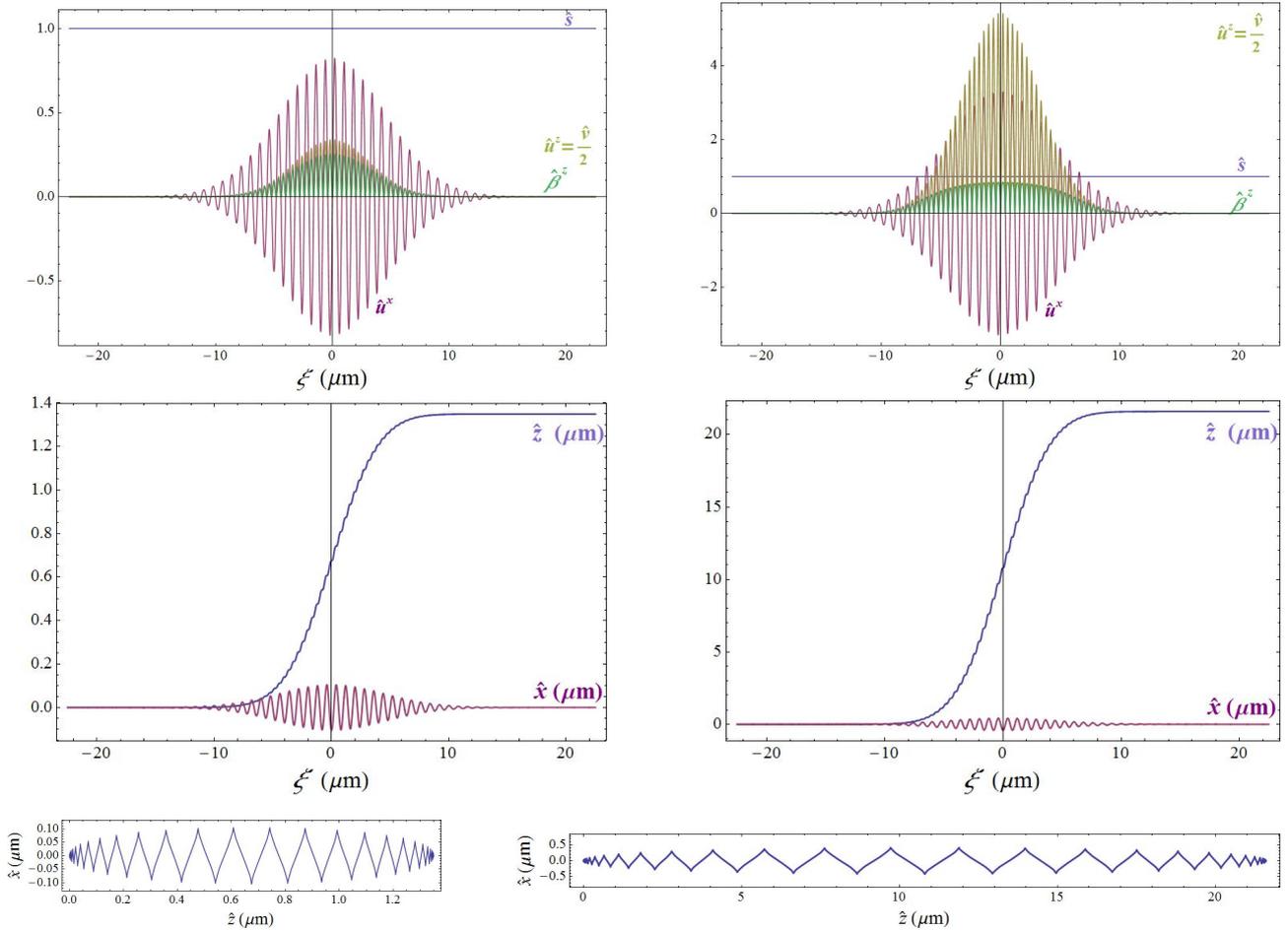
$$\hat{z}'(\xi) \approx \frac{k^2}{2} W^2(l) \approx 2\mathcal{E}_f, \quad \frac{|\hat{\mathbf{x}}^{\perp}(\xi)|}{\hat{z}'(\xi)} \approx \frac{2}{kW(l)} \ll 1;$$

#### 3.3 Case $\mathbf{E}_s = E_s^z \mathbf{k}, \mathbf{B}_s = \mathbf{0}$

Then the solution (19) reduces to  $\hat{s}(\xi) = 1 - \kappa\xi$ ,

$$(\hat{x} + i\hat{y})(\xi) = \int_0^{\xi} dy \frac{(w^x + iw^y)(y)}{1 - \kappa y}, \quad \hat{z}(\xi) = \int_0^{\xi} \frac{dy}{2} \left\{ \frac{1 + \hat{v}(y)}{[1 - \kappa y]^2} - 1 \right\}; \quad (23)$$

If  $\epsilon^{\perp}$  is slowly modulated the energy gain (13)  $\mathcal{E}_f$  is negative if  $\kappa > 0$ , positive if  $\kappa \leq 0$  and has a unique maximum



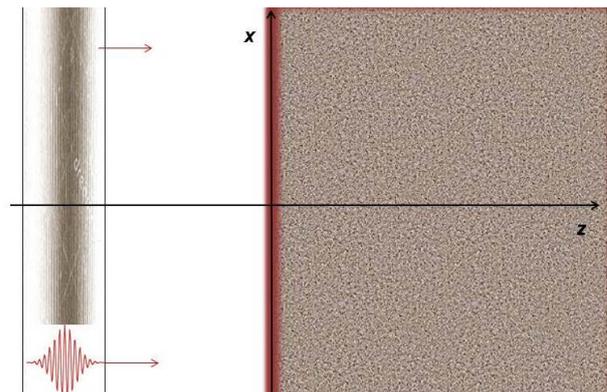
**Figure 2.** Solutions (20) and  $e^-$  trajectories in the  $zx$  plane induced by two  $x$ -polarized pulses with carrier wavelength  $\lambda = .8\mu\text{m}$ , gaussian modulation  $\epsilon(\xi) = a \exp[-\xi^2/2\sigma]$ ,  $\sigma=20\mu\text{m}^2$ ,  $ea\lambda/mc^2=4, 15$  (left, right).

point  $\kappa_M < 0$  if  $\epsilon(\xi)$  has a finite support with a unique maximum. Here is an acceleration device based on this solution: at  $t = 0$  the particle initially lies at rest with  $z_0 \lesssim 0$ , just at the left of a metallic grating  $G$  contained in the  $z=0$  plane and set at zero electric potential; another metallic plate  $P$  contained in a plane  $z=z_p > 0$  is set at electric potential  $V = V_p$ . A short laser pulse  $\epsilon^+$  hitting the particle boosts it into the latter region through the ponderomotive force; choosing  $qV_p > 0$  implies  $\kappa = -qV_p/z_p mc^2 < 0$ , and a backward longitudinal electric force  $qE_s^z$ . If  $qV_p$  is large enough, then  $z(t)$  will reach a maximum smaller than  $z_p$ , then is accelerated backwards and exits the grating with energy  $\mathcal{E}_f$  and negligible transverse momentum. A large  $\mathcal{E}_f$  requires extremely large  $|V_p|$ , far beyond the material breakdown threshold, what prevents its realization as a static field (namely, sparks between  $G, P$  would arise and rapidly reduce  $|V_p|$ ). A way out is to make the pulse itself generate such large  $|E_s^z|$  within a plasma at the right time so as to induce the it slingshot effect, as sketchily explained at the end of next section.

#### 4 Plane plasma problems

Assume that the plasma is initially in hydrodynamic conditions with all initial data [velocities, densities  $n_h$ , EM

fields of the form (2)] not depending on  $\mathbf{x}^\perp$ . Then also the solutions for  $\mathbf{B}, \mathbf{E}, \mathbf{u}_h, n_h, \Delta \mathbf{x}_h \equiv \mathbf{x}_h(t, \mathbf{X}) - \mathbf{X}$  (displacements) do not depend on  $\mathbf{x}^\perp$ . Here  $\mathbf{x}_h(t, \mathbf{X})$  is the position at  $t$  of the  $h$ -th fluid material element with initial position  $\mathbf{X} \equiv (X, Y, Z)$ ;  $\mathbf{X}_h(t, \mathbf{x})$  is the inverse (at fixed  $t$ ). More specifically, we consider the impact of an EM plane wave with a pump of the type (3.a) on a cold plasma at equilibrium (figure below); the initial conditions are:



$$\begin{aligned} \mathbf{u}_h(0, \mathbf{x}) &= \mathbf{0}, & n_h(0, \mathbf{x}) &= 0 & \text{if } z \leq 0, \\ j^0(0, \mathbf{x}) &= \sum_h q_h n_h(0, \mathbf{x}) \equiv 0, & (24) \\ \mathbf{E}(0, \mathbf{x}) &= \boldsymbol{\epsilon}^\perp(-z), & \mathbf{B}(0, \mathbf{x}) &= \mathbf{k} \wedge \boldsymbol{\epsilon}^\perp(-z) + \mathbf{B}_s. \end{aligned}$$

Then Maxwell eq.s  $\nabla \cdot \mathbf{E} = 4\pi j^0$ ,  $\partial_t E^z/c + 4\pi j^z = (\nabla \wedge \mathbf{B})^z = 0$  (the current density is  $\mathbf{j} = \sum_h q_h n_h \boldsymbol{\beta}_h = \sum_h q_h n_h \frac{\mathbf{u}_h}{\gamma_h}$ ) imply [8]

$$E^z(t, z) = 4\pi \sum_h q_h \tilde{N}_h[Z_h(t, z)], \quad (25)$$

where  $\tilde{N}_h(Z) \equiv \int_0^Z d\zeta n_h(0, \zeta)$ : we thus reduce by one the number of unknowns, expressing  $E^z$  in terms of the (still unknown) longitudinal motion.  $\mathbf{A}^\perp$  is coupled to the currents through  $\square \mathbf{A}^\perp = 4\pi \mathbf{j}^\perp$  (in the Landau gauges). Including (24) this amounts to the integral equation

$$\mathbf{A}^\perp - \boldsymbol{\alpha}^\perp - \frac{\mathbf{B}_s}{2} \wedge \mathbf{x} = 2\pi \int ds d\zeta \theta(ct-s-|z-\zeta|) \theta(s) \mathbf{j}^\perp\left(\frac{s}{c}, \zeta\right). \quad (26)$$

The right-hand side (rhs) is zero for  $t \leq 0$ , because  $t = 0$  is the beginning of the laser-plasma interaction. Within short time intervals  $[0, t']$  (to be determined *a posteriori*) we can approximate  $\mathbf{A}^\perp(t, z) \simeq \boldsymbol{\alpha}^\perp(ct-z) + \frac{\mathbf{B}_s}{2} \wedge \mathbf{x}$ ; we also neglect the motion of ions with respect to that of electrons. Then the Hamilton equations for the electron fluid with ‘time’  $\xi$  and the initial conditions amount to (9) and

$$mc^2 \hat{s}'_e(\xi, Z) = 4\pi e^2 \left[ \tilde{N}(\hat{z}_e) - \tilde{N}(Z) \right] + e(\hat{\mathbf{x}}_e' \wedge \hat{\mathbf{B}}_s)^z, \quad (27)$$

$$mc^2 \hat{\mathbf{u}}_e'(\xi, Z) = e\boldsymbol{\alpha}^\perp - e(\hat{\mathbf{x}}_e' \wedge \hat{\mathbf{B}}_s)^\perp,$$

$$\hat{\mathbf{x}}_e(0, \mathbf{X}) = \mathbf{X}, \quad \hat{\mathbf{u}}_e(0, \mathbf{X}) = \mathbf{0} \quad \Rightarrow \quad \hat{s}_e(0, \mathbf{X}) = 1. \quad (28)$$

this is a family parametrized by  $Z$  of *decoupled ODEs* which can be solved numerically. The approximation on  $\mathbf{A}^\perp(t, z)$  is acceptable as long as the so determined motion makes  $|\text{rhs}(26)| \ll |\boldsymbol{\alpha}^\perp + \frac{\mathbf{B}_s}{2} \wedge \mathbf{x}|$ ; otherwise rhs(26) determines the first correction to  $\mathbf{A}^\perp$ ; and so on.

If  $\mathbf{B}_s = \mathbf{0}$ , again (27)<sub>2</sub> is solved by  $\hat{\mathbf{u}}_e^+(\xi) = e\boldsymbol{\alpha}^\perp(\xi)/mc^2$ , while, setting  $v = \hat{\mathbf{u}}_e^2$ , (9)<sub>2</sub>, (27)<sub>1</sub> take [20] the form of (17)

$$\Delta \hat{z}'_e = \frac{1+v}{2s^2} - \frac{1}{2}, \quad \hat{s}'_e = \frac{4\pi e^2}{mc^2} \left\{ \tilde{N}[\hat{z}_e] - \tilde{N}(Z) \right\}. \quad (29)$$

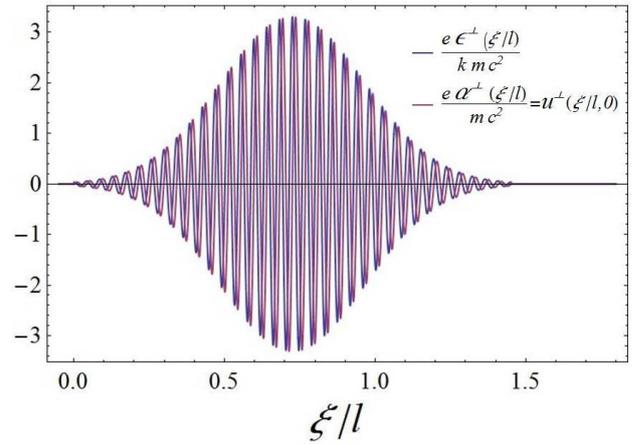
If  $n_e(0, \mathbf{X}) = n_0 = \text{const}$  for  $Z \geq 0$ , then as long as  $\hat{z}_e(\xi, Z) > 0$  (29), (28) reduce to the *same* Cauchy problem for all  $Z$ :

$$\Delta' = \frac{1+v}{2s^2} - \frac{1}{2}, \quad s' = M\Delta, \quad (30)$$

$$\Delta(0) = 0, \quad s(0) = 1 \quad (31)$$

with  $M \equiv \frac{4\pi e^2 n_0}{mc^2}$ . In fig. 4 we show the solution if  $\boldsymbol{\epsilon}^\perp$  is as in fig. 3 and  $n_0 = 2 \times 10^{18} \text{cm}^{-3}$ ;  $s(\xi)$  is indeed insensitive to the fast oscillations of  $\boldsymbol{\epsilon}^\perp$  (see section 2.1). After the pulse is passed it becomes periodic: a plasma travelling-wave of spacial period  $\xi_H \simeq 49 \mu\text{m}$  follows the pulse. The other unknowns are obtained through (16). Replacing in rhs(26) we find that  $\mathbf{A}^\perp \simeq \boldsymbol{\alpha}^\perp$  is verified at least for  $t \leq 5\xi_H/c$

The above results are based on a laser spot size  $R = \infty$  (plane wave). When including corrections due to the finite  $R$  (based on causality and heuristic estimates), they imply: the impact of a very short and intense laser pulse on the surface of a cold low-density plasma (or gas, ionized

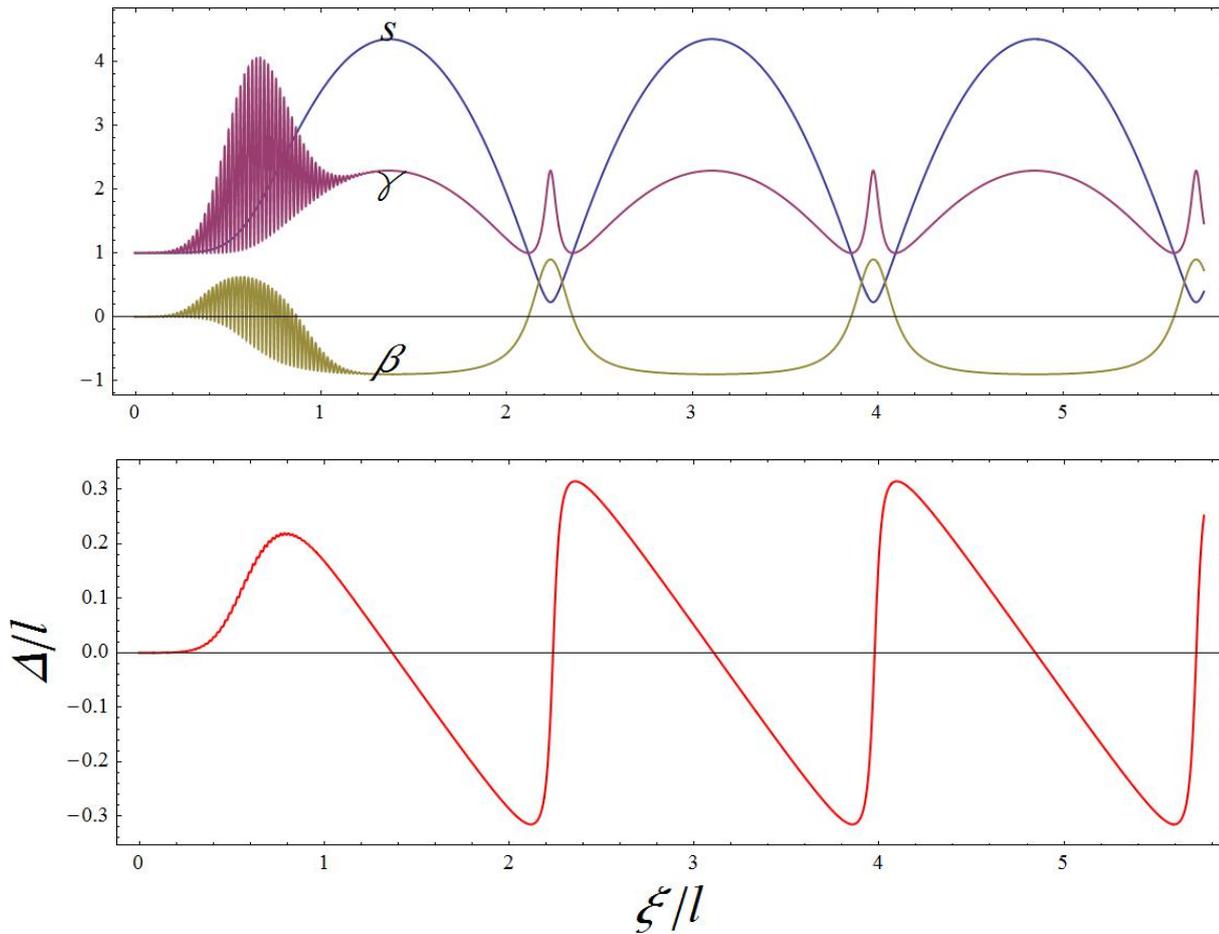


**Figure 3.** Normalized pump  $\boldsymbol{\epsilon}^\perp$  with carrier wavelength  $\lambda = 0.8 \mu\text{m}$ , gaussian modulation  $\boldsymbol{\epsilon}(\xi) = a \exp[-\xi^2/2\sigma]$ ,  $\sigma = 20 \mu\text{m}^2$ ,  $ea\lambda/mc^2 = 15$ , average pulse intensity  $10^{19} \text{W/cm}^2$ , linear polarization.  $l \simeq 27 \mu\text{m}$  is the length of the interval where the pump amplitude  $\epsilon$  overcomes the ionization threshold for the cold gas (here helium) yielding the plasma; under such pulses the thresholds for 1<sup>st</sup> and 2<sup>nd</sup> ionization are overcome (i.e. Keldysh parameters become smaller than 1) almost simultaneously [18, 19].

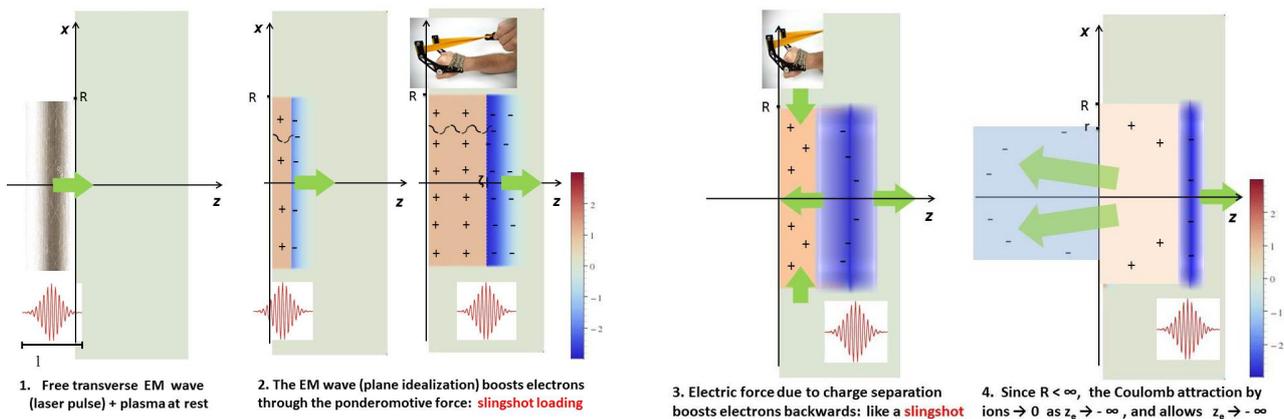
into a plasma by the pulse itself) may induce (for carefully tuned  $R$ ), beside a wakefield propagating behind the pulse [23, 24], also a backward acceleration and expulsion of surface electrons [20, 21] (*slingshot effect*), as schematically depicted in fig. 5. For reviews see also [22].

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**Figure 4.** Solution of (29-8) corresponding to the pulse of fig. 3, initial density  $\tilde{n}_{e0}(Z) = n_0\theta(Z)$ ,  $n_0 = 2 \times 10^{18} \text{cm}^{-3}$ .



**Figure 5.** Schematic stages of the slingshot effect.

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