

# LOWER-FREE TROPOSPHERIC OZONE DIAL MEASUREMENTS OVER ATHENS, GREECE

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## ABSTRACT

A compact ozone differential absorption lidar (DIAL) was implemented at the Laboratory of Laser Remote Sensing of the National Technical University of Athens (NTUA), in Athens, Greece. The DIAL system is based on a Nd:YAG laser emitting at 266 nm. A high-pressure Raman cell, filled with D<sub>2</sub>, was used to generate the  $\lambda_{ON}$  and  $\lambda_{OFF}$  laser wavelength pairs (i.e., 266-289 nm and 289-316 nm, respectively) based on the Stimulated Raman Scattering (SRS) effect. The system was run during daytime and nighttime conditions to obtain the vertical profile of tropospheric ozone in the Planetary Boundary Layer (PBL) and the adjacent free troposphere.

## 1 INTRODUCTION-TROPOSPHERIC OZONE

Ozone plays a significant role in the tropospheric chemistry as the major precursor of the hydroxyl radicals (OH), which in turn is the primary removal agent for most of the atmospheric pollutants [1]. In addition, ozone as a greenhouse gas influences the radiative balance of the troposphere and consequently the climate change [2].

Moreover, high ozone levels over urban areas contribute to the formation of photochemical smog while can cause health problems to humans and plants [3].

The ozone budget in the troposphere and its concentration changes are controlled by both chemical and dynamical processes: photochemical production in the Planetary Boundary Layer (PBL), downward transport from the stratosphere and horizontal transport from a source region [4].

Ozone is produced by photochemical reactions involving primary pollutants such as carbon monoxide (CO), nitrogen oxides (NO<sub>x</sub>) and hydrocarbons (HC), which accelerate the process [1,3]. The transport of ozone from the stratosphere occurs during stratospheric-tropospheric exchanges,

which in the extratropics are associated mainly with tropopause folds and cutoff lows events [5-11].

Furthermore, ozone in the free troposphere can be easily transported from a source region to another, due to its relatively longer lifetime and the higher wind velocities, as detected over the Eastern Mediterranean [8-10].

## 2 METHODOLOGY-EXPERIMENTAL SETUP

### 2.1 Methodology

By applying the well-known lidar equation [12] at the two wavelengths ( $\lambda_{ON}$  and  $\lambda_{OFF}$ ), we get the ozone concentration  $n_{O_3}$  [4]:

$$n_{O_3}(z) = \frac{1}{2\Delta\sigma_i} \left[ \frac{d}{dz} \ln \frac{P(\lambda_{OFF}, z)}{P(\lambda_{ON}, z)} \right] \quad (1)$$

where,  $P_i$  = number of photons received at channel  $i$  ( $i=ON$  or  $OFF$  wavelength),  $\Delta\sigma_i = \sigma(\lambda_{ON}) - \sigma(\lambda_{OFF})$  for O<sub>3</sub> and  $\sigma(\lambda)$  = absorption cross section of ozone (cm<sup>2</sup>) at wavelength  $\lambda$ .

The corresponding *statistical error* ( $\varepsilon_1$ ) if given by the following equation [4]:

$$\varepsilon_1(z) = \frac{1}{2n_{O_3}(z)\Delta\sigma_i\Delta z [N(z)]^{1/2}} \sqrt{\frac{2}{SNR(z)_i^2} + \frac{2}{SNR(z)_2^2}} \quad (2)$$

$$\text{where, } SNR(z)_i = \frac{P_i(z)}{(P(z)_i + P_{bi}(z))^{1/2}} \quad (3)$$

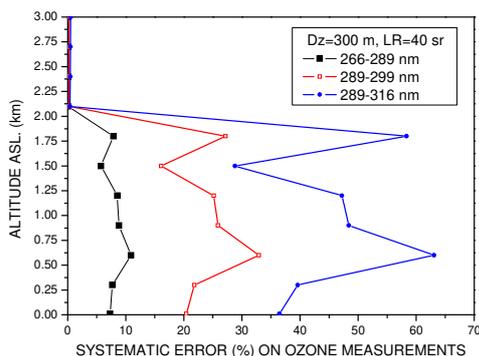
and  $P_{bi}(z)$  is the atmospheric and electronic background noise at channel  $i$ ,  $\Delta z$  is the range resolution of the measurement, and  $N(z)$  is the number of laser shots (related to the integration time).

The corresponding *systematic error* ( $\varepsilon_2$ ), if we omit the laser beam absorption by other gases, is given by the following equation [4]:

$$\varepsilon_2(z) = \frac{1}{n_{O_3}(z)\Delta\sigma_i} \left[ \frac{1}{2} \cdot \frac{d}{dr} \ln \frac{\beta(\lambda_{ON}, z)}{\beta(\lambda_{OFF}, z)} - \Delta\alpha_{RAY}(\lambda, z) - \Delta\alpha_{aer}(z, \lambda) \right] \quad (4)$$

where,  $\beta(\lambda_i, z)$  is the atmospheric backscatter coefficient at wavelength  $\lambda_i$  ( $i=ON$  or  $OFF$ ) at range  $z$ ,  $\Delta\alpha_{RAY}(\lambda, z) = \alpha_{RAY}(\lambda_{ON}, z) - \alpha_{RAY}(\lambda_{OFF}, z)$  and  $\Delta\alpha_{aer}(\lambda, z) = \alpha_{aer}(\lambda_{ON}, z) - \alpha_{aer}(\lambda_{OFF}, z)$ , where  $\alpha_{RAY}(\lambda_i, z)$  and  $\alpha_{aer}(\lambda_i, z)$  are the extinction coefficients of

atmospheric molecules and particles, respectively, at wavelength  $\lambda_i$  ( $i=ON$  or  $OFF$ ) at range  $z$ .

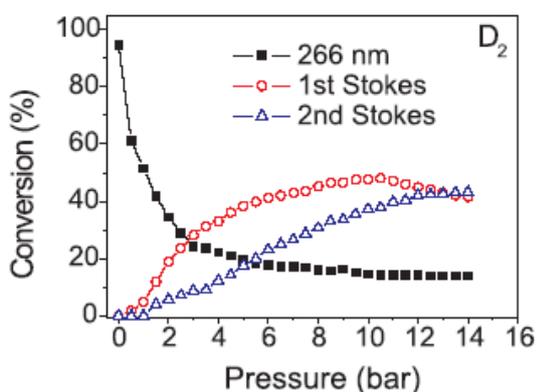


**Figure 1.** Systematic error ( $\epsilon_2$ ) in % for three different wavelength pairs: 266-289 nm, 289-299 nm and 289-316 nm.

In Fig. 1 we present simulations of the systematic error  $\epsilon_2$ , in a polluted urban atmosphere (with an intense presence of aerosols), for three wavelength pairs (266-289 nm, 289-299 nm, 289-316 nm), a range resolution of 300 m and a typical lidar ratio (LR),  $LR(\lambda, z) = \alpha_{aer}(\lambda, z) / \beta_{aer}(\lambda, z)$ , of 40 sr. From this figure we see that we can derive the ozone profiles within the first 2 km (typically within the Planetary Boundary Layer) with a systematic error  $\epsilon_2 < 10\%$ , if we use the 266-289 nm wavelength pair.

## 2.2 Experimental setup

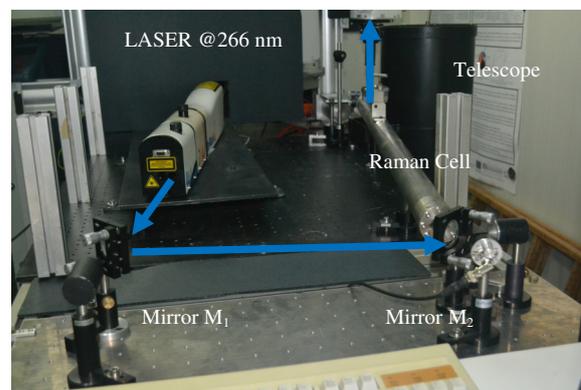
To produce the ON and OFF wavelength we use the Stimulated Raman Scattering (SRS) effect by optically pumping, with the 4<sup>th</sup> harmonic of a Nd:YAG laser (17-20 mJ/pulse at 266 nm), a 1-m long high-pressure Raman cell filled with a Raman active gas ( $D_2$  at 14 atm).



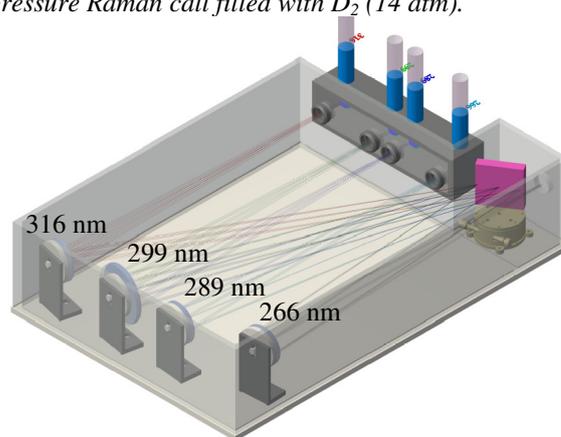
**Figure 2.** Conversion efficiency (%) at the exit of a 1-m long Raman cell, at both the 1<sup>st</sup> and 2<sup>nd</sup> Stokes wavelengths (289 and 316 nm, respectively) and 266 nm [13].

Previous experiments [13] have shown that at a pressure of 14 bar ( $D_2$ ) we can achieve an energy conversion efficiency at the exit of the Raman cell of the order of 40% at both the 1<sup>st</sup> and 2<sup>nd</sup> Stokes wavelength (289 and 316 nm, respectively), and of ~18% at the fundamental wavelength 266 nm (Fig. 2). By adding  $H_2$  in the Raman cell, the wavelength of 299 nm, can also be generated [13].

The Differential Absorption Lidar (DIAL) system for ozone profiling in the lower troposphere of the Laser Remote Sensing Unit (LRSU) of NTUA (EOLE and DIAL lidar systems) is based in Athens (37.96°N, 23.78°E, 220 m a.s.l.). The system is based on the 4<sup>th</sup> harmonic of a pulsed Nd:YAG laser, emitting 17-20 mJ/pulse at 266 nm (10 Hz repetition rate). It is used to optically pump a 1-m long stainless steel Raman cell filled with  $D_2$  (14 atm), through the SRS effect. The laser pulses produced at 266 nm, 289 nm and 316 nm, have energies of the order of 3 mJ (266 nm), and 6 mJ (both at 289 and 316 nm).



**Figure 3.** The experimental setup of the LRSU ozone DIAL system (emitting and receiving units). The ON and OFF DIAL wavelength are produced from a high-pressure Raman cell filled with  $D_2$  (14 atm).

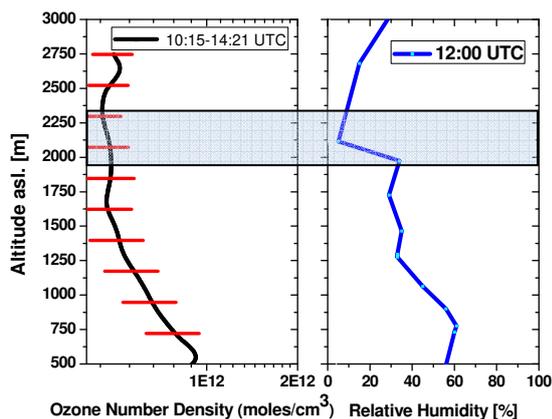


**Figure 4.** The multi-wavelength ozone spectrometer (auto CAD simulations) for the spectral separation of the ON and OFF lidar signals.

A receiving Newtonian telescope of 250 mm diameter and 1125 m focal length ( $f/4.5$ ) is used to collect the backscatter laser beams at 266, 289 and 316 nm (Fig. 3). This telescope is optically coupled to a discriminating spectrometer through a pure SiO<sub>2</sub> optical fiber [14]. The backscattered lidar signals between 266-316 nm are spectrally separated by a Czerny-Turner grating spectrometer (4960 lines/mm) with a spectral resolution better than 0.5 nm (Fig. 4). Four concave mirrors are used to focus the lidar signals at the photocathode of compact photo-multiplier tubes (PMTs) at four respective wavelengths: 266, 289, 299 and 316 nm. High performance transient recorders (Licel GmbH) are used to digitize the received lidar signals (12 bits - 40 MHz - 8192 signal bins) at 266, 289 and 316 nm. The typical number of averaged lidar signals is 4000, corresponding to 6 min time resolution.

### 3 EXPERIMENTAL RESULTS

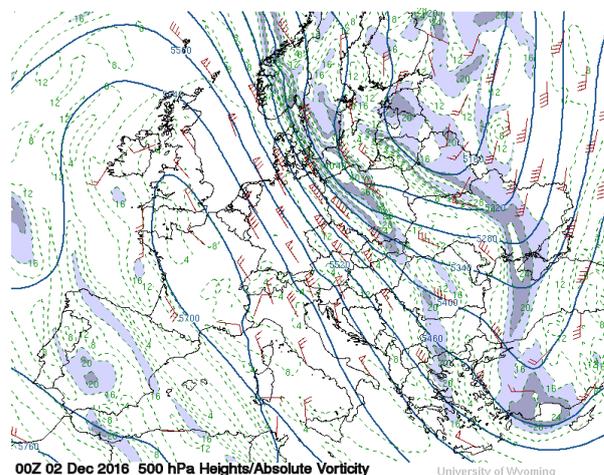
In Fig. 5, we present the vertical profile of the ozone number density (moles/cm<sup>3</sup>) obtained during daytime (10:15-14:21 UTC) over Athens, on 02 December 2016, based on a combination of two-wavelength pairs: 266-289 nm (inside the PBL, from ground up to 2 km height) and 289-316 nm (in the free troposphere). This profile has been corrected for the atmospheric backscatter and for the molecular and particulate differential extinction (cf. equation 4). The latter one has been based on aerosol measurements obtained by our DIAL system at 316 nm and at 355 nm by the collocated multi-wavelength Raman lidar of NTUA (EOLE system).



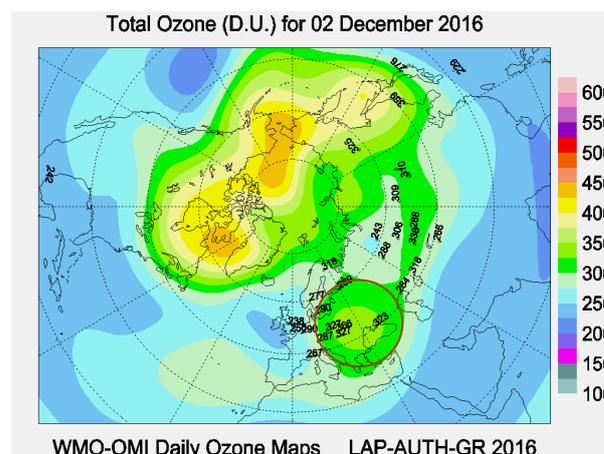
**Figure 5.** left: Vertical profile of the ozone number density (moles/cm<sup>3</sup>) and right: relative humidity, obtained over Athens during daytime by the NTUA DIAL system (wavelength pairs 266-289 nm and 289-316 nm) and a radiosonde, respectively.

The corresponding vertical profile of the relative humidity (RH) obtained by radiosonde (cf. Fig. 5-right) launched by the Hellenic National Meteorological Service (HNMS), shows a very low value of RH (6%) observed around 2.1 km height. This very low value of RH may be related to a recent stratospheric-tropospheric transport (STT) event, occurred over Athens. This can be corroborated by three factors:

- High values of absolute vorticity and winds field over Athens (observed a few hours before) at 500 hPa surface (cf. Fig. 6),
- Total ozone data showing a cut-off low (denoted by a brown circle) event [5-7, 9-10] with high ozone values over Greece (cf. Fig. 7).
- Strong downward winds around 2 km height (skew-T diagram not shown).



**Figure 6.** Absolute vorticity map and winds field at 500 hPa surface, on 02 December 2016 (00:00 UTC) [image credit: University of Wyoming, USA].



**Figure 7.** Total ozone map obtained by OMI-Aura satellite on 02 December 2016.

## 4 CONCLUSIONS

In this paper we presented a typical vertical profile of the ozone number density obtained over Athens, using a two-wavelength pair DIAL system (266-289 nm and 289-316 nm), which coincided with a deep STT event [9-11], observed down to 2.1 km height.

Systematic ozone measurements are to be performed over Athens, starting on February 2017, during daytime and nighttime.

## ACKNOWLEDGMENTS

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