

# Theory of rare K decays

Giancarlo D'Ambrosio<sup>1,\*</sup>

<sup>1</sup>INFN-Sezione di Napoli, Via Cintia, 80126 Napoli, Italia

**Abstract.** I review rare kaon decays. I introduce the flavor problem and possible solutions. Very rare kaon decays like  $K \rightarrow \pi\nu\bar{\nu}$  are very important to this purpose: we study also  $K \rightarrow \pi l^+ l^-$ ,  $K \rightarrow \pi\pi ee$  where chiral dynamics is important to disentangle short distance effects. We discuss also the decays  $K^0 \rightarrow \mu^+ \mu^-$ , which have received recently some attention due to the measurement by LHCb.

## 1 Introduction and $K \rightarrow \pi\nu\bar{\nu}$

Rare kaon decays furnish challenging MFV probes and will severely constrain additional flavor physics motivated by NP [1]. SM predicts the  $V - A \otimes V - A$  effective hamiltonian

$$\mathcal{H} = \frac{G_F}{\sqrt{2}} \frac{\alpha}{2\pi \sin^2 \theta_W} \left( \underbrace{V_{cs}^* V_{cd}}_{\lambda x_c} X_{NL} + \underbrace{V_{ts}^* V_{td}}_{A^2 \lambda^5 (1 - \rho - i\eta)} X(x_t) \right) \bar{s}_L \gamma_\mu d_L \bar{\nu}_L \gamma^\mu \nu_L, \quad (1)$$

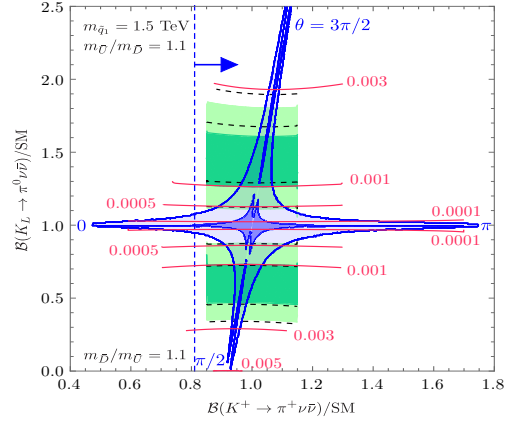
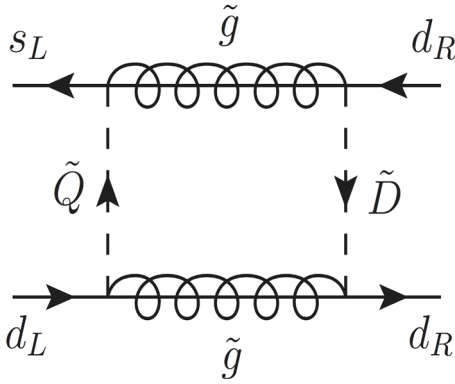
$x_q = m_q^2/M_W^2$ ,  $\theta_W$  the Weak angle and  $X$ 's are the Inami-Lin functions with Wilson coefficients known at two-loop electroweak corrections and the main uncertainties is due to the strong corrections in the charm loop contribution. The structure in (1) leads to a pure CP violating contribution to  $K_L \rightarrow \pi^0 \nu\bar{\nu}$ , induced only from the top loop contribution and thus proportional to  $\Im m(\lambda_t)$  ( $\lambda_t = V_{ts}^* V_{td}$ ) and free of hadronic uncertainties. This leads to the prediction

$$\mathcal{B}(K_L \rightarrow \pi^0 \nu\bar{\nu})_{\text{SM}} = (2.9 \pm 0.2) \times 10^{-11} \quad \mathcal{B}(K^+ \rightarrow \pi^+ \nu\bar{\nu})_{\text{SM}} = (8.3 \pm 0.9) \times 10^{-11},$$

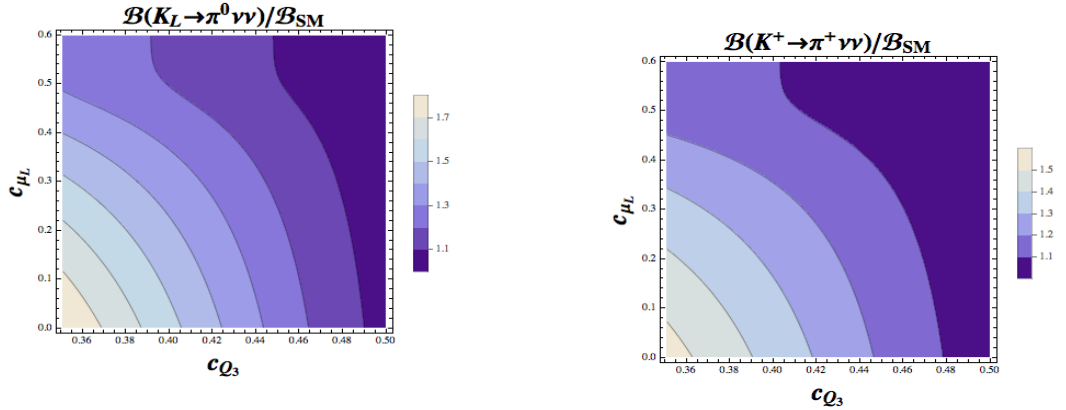
where the parametric uncertainty due to the error on  $|V_{cb}|$ ,  $\rho$  and  $\eta$  is shown.

Typical BSM predict new flavor structures that might affect  $K \rightarrow \pi\nu\bar{\nu}$  that now can be tested at NA62 and KOTO [2]; we describe two different BSM effects i) new flavor structures for  $\epsilon'$  avoiding  $\Delta S = 2$  constraints (Fig. 1) [1, 3] and ii) attempts to describe B-anomalies [4], typically induce large flavor effects at  $O(1)$  TeV [5]. i) the recent lattice results for  $K \rightarrow 2\pi$  leave open the possibility of BSM for  $\epsilon'$ ; to isospin breaking terms in  $\Im(A_2)$  have been studied [3] in Fig.1. We expect effects at most 10% in  $K^+ \rightarrow \pi^+ \nu\bar{\nu}$  while are more sizable for  $K_L \rightarrow \pi^0 \nu\bar{\nu}$ . Theoretically addressing flavor in Randall Sundrum models is more challenging: we have studied the so called flavor anarchy scenario with 5D MFV and custodial symmetry; the only sources of flavor breaking are two 5D anarchic Yukawa matrices. These matrices also generate also the bulk masses, which are responsible for the

\*e-mail: gdambros@na.infn.it



**Figure 1.** Impact of  $K \rightarrow 2\pi$  isospin breaking terms ( $\Im(A_2)$ ) (left) on  $K \rightarrow \pi\nu\bar{\nu}$ , typical effects, (right)



**Figure 2.** RS scenario to explain B-anomalies:  $B(K \rightarrow \pi\nu\bar{\nu})$  ranges as a function of fermion profiles ( $c_i$ 's)

resulting flavor hierarchy. The theory flows to a next to minimal flavor violation model where flavor violation is dominantly coming from the 3rd generation. We show that it is possible to find a range of parameters for bulk masses satisfying experimental flavor constraints, but also we explain the neutral B-anomalies, requiring NP flavor scale at  $O(1)$  TeV. Then we address  $K \rightarrow \pi\nu\bar{\nu}$ -decays: we show the TH predictions as a function of the bulk fermion masses in Fig.2 [5]. A natural issue is to test  $O(1)$  TeV physics at LHC; we are trying to apply the technique of Ref. [6] to this purpose.

## 2 $K_{L,S} \rightarrow \mu^+\mu^-$

Recent  $K_S \rightarrow \mu\bar{\mu}$  LHCb measurement is very interesting and unexpected

$$B(K_S \rightarrow \mu\bar{\mu})_{LHCb} < 9 \times 10^{-9} \text{ at } 90\% \text{ CL} \quad B(K_S \rightarrow \mu\bar{\mu})_{SM} = (5.0 \pm 1.5) \times 10^{-12}. \quad (2)$$

It represents an important milestone since it has improved the previous limit,  $< 3.2 \times 10^{-7}$  at 90 % CL, lasted 40 years. It is based on a production of  $10^{13} K_S$  per  $\text{fb}^{-1}$  inside the LHCb acceptance and it is obtained using  $1.0 \text{ fb}^{-1}$  of pp collisions at  $\sqrt{s} = 7 \text{ TeV}$  collected in 2011.

Two photon exchange generates the dominant contribution for both  $K_L$  and  $K_S$  decays to two muons [7]. The structure of weak and electromagnetic interactions entails a vanishing CP conserving short distance contribution to  $K_S \rightarrow \mu^+ \mu^-$ . Indeed the SM short diagrams (similar to  $K \rightarrow \pi \nu \bar{\nu}$  in Fig. 2) lead to the SM effective hamiltonian similar to eq. (1).

The LD contributions to  $K_S \rightarrow \mu^+ \mu^-$  Fig. (4) have been computed reliably in CHPT ( $B = (5.0 \pm 1.5) \times 10^{-12}$ ). The relevant short distance contributions are

$$B(K_S \rightarrow \mu\bar{\mu})_{SM}^{SD} = 1 \times 10^{-5} |\Im(V_{ts}^* V_{td})|^2 \sim 10^{-13} \quad \text{vs} \quad B(K_S \rightarrow \mu\bar{\mu})_{NP} \leq 10^{-11} \quad (3)$$

We have shown that in some appealing susy scenario in Fig. (3) [8] large allowed new physics contributions (NP) can be substantially larger than SM SD contributions.

The short distance hamiltonian will contribute also to  $K_L \rightarrow \mu\bar{\mu}$ , through a CP conserving amplitude,  $\Re(A_{\text{short}})$ , that has to be disentangled from the large LD two-photon exchange contributions,  $A_{\gamma\gamma}$ : the absorptive LD contribution is much larger than SD, in the rate respectively 25 times larger than dispersive; total ( $B_{\text{expt}} = (6.84 \pm 0.11) \times 10^{-9}$ ) To extract SD info the situation would be better if we would know the sign of  $A_{\gamma\gamma}$ , theoretically and experimentally unknown. While  $K_L$ -decays outside the LHCb fiducial volume the interference  $A(K_L \rightarrow \mu\bar{\mu})^* A(K_S \rightarrow \mu\bar{\mu})$  may affect the LHCb  $K_S$ -rates: we can study the time interference  $K_{S,L} \rightarrow \mu\mu$ ; this can be done by flavor tagging  $K^0 \bar{K}^0$ , specifically by detecting the associated  $\pi^\pm$  and (or)  $K^\mp$ , determining the impurity parameter  $D = \frac{K^0 - \bar{K}^0}{K^0 + \bar{K}^0}$ . Then interference term will affect the measured branching [7]:

$$\mathcal{B}(K_S^0 \rightarrow \mu^+ \mu^-)_{\text{eff}} = \tau_S \left( \int_{t_{\min}}^{t_{\max}} dt e^{-\Gamma_S t} \mathcal{E}(t) \right)^{-1} \left[ \int_{t_{\min}}^{t_{\max}} dt \left\{ \Gamma(K_S^0 \rightarrow \mu^+ \mu^-) e^{-\Gamma_S t} \right. \right. \\ \left. \left. + \frac{D f_K^2 M_K^3 \beta_\mu}{8\pi} \text{Re} \left[ i (A_S A_L - \beta_\mu^2 B_S^* B_L) e^{-i\Delta M_K t} \right] e^{-\frac{\Gamma_S + \Gamma_L}{2} t} \right\} \mathcal{E}(t) \right], \quad (4)$$

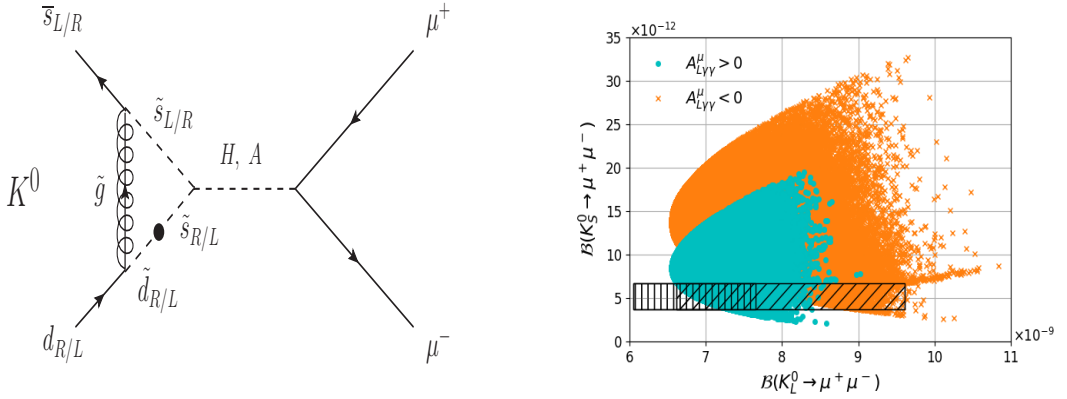
Then we are i) increasing the sensitivity to short distance and ii) possibly determining the sign  $A_{L\gamma\gamma}$

$$\sum_{\text{spin}} \mathcal{A}(K_1 \rightarrow \mu^+ \mu^-)^* \mathcal{A}(K_2 \rightarrow \mu^+ \mu^-) \sim \underbrace{\text{Im}[\lambda_t] y'_{7A}}_{SD} \left\{ \underbrace{A_{L\gamma\gamma}^\mu}_{LD} - 2\pi \sin^2 \theta_W (\text{Re}[\lambda_t] y'_{7A} + \text{Re}[\lambda_c] y_c) \right\} \quad (5)$$

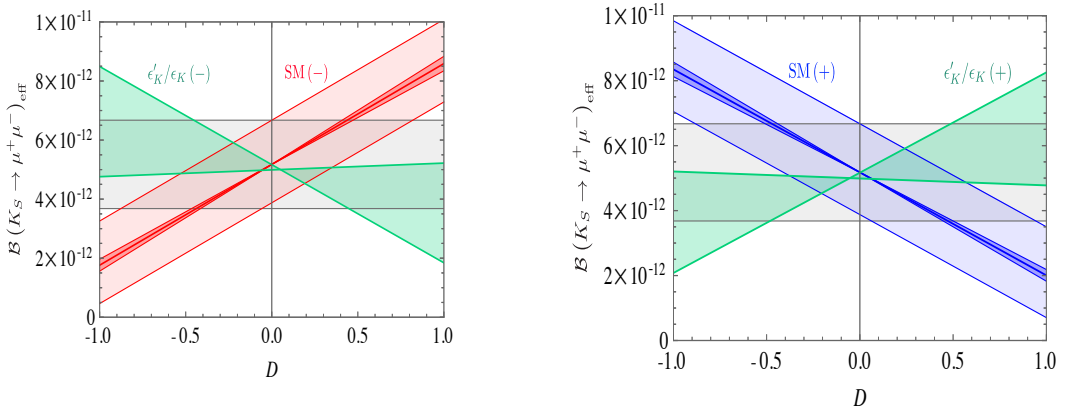
Experimentally, one can also access an *effective* branching ratio of  $K_S^0 \rightarrow \mu^+ \mu^-$  [7] which includes an interference contribution with  $K_L^0 \rightarrow \mu^+ \mu^-$  in the neutral kaon sample.

LHCb has also a beautiful kaon physics program [10–12]

	PDG	Prospects
$K_S \rightarrow \mu\mu$	$< 9 \times 10^{-9}$ at 90% CL	(LD)( $5.0 \pm 1.5$ ) $\cdot 10^{-12}$ NP $< 10^{-11}$
$K_L \rightarrow \mu\mu$	$(6.84 \pm 0.11) \times 10^{-9}$	difficult : SD $\ll$ LD
$K_S \rightarrow \mu\mu\mu\mu$	–	SM LD $\sim 2 \times 10^{-14}$ (6)
$K_S \rightarrow ee\mu\mu$	–	$\sim 10^{-11}$
$K_S \rightarrow eeee$	–	$\sim 10^{-10}$
$K_S \rightarrow \pi^+ \pi^- \mu^+ \mu^-$	–	SM LD $\sim 10^{-14}$



**Figure 3.** Susy scenario:  $K_S \rightarrow \mu\mu$  diagram (left), theory predictions: in dashed area no interference effects are considered (right)



**Figure 4.**  $K_S$  LD diagram (left), interference effect from eq. 5 on  $\mathcal{B}(K_S \rightarrow \mu^+\mu^-)$  depending on the  $A_{L\gamma\gamma}$  sign: negative (center and in red SM while in green NP contributions) and positive (right and in blue SM while in green NP contributions).

### 3 The weak chiral lagrangian

In Ref. [9] we have studied how to determine the weak  $O(p^4)$  chiral counterterms in

$$\mathcal{L}_{\Delta S=1} = \mathcal{L}_{\Delta S=1}^2 + \mathcal{L}_{\Delta S=1}^4 + \dots = G_8 F^4 \underbrace{\langle \lambda_6 D_\mu U^\dagger D^\mu U \rangle}_{K \rightarrow 2\pi/3\pi} + G_8 F^2 \underbrace{\sum_i N_i W_i}_{K^+ \rightarrow \pi^+ \gamma \gamma, K \rightarrow \pi^+ l^+ l^-} + \dots$$

Due to the accurate NA48/2 study of the decays  $K^\pm \rightarrow \pi^\pm \pi^0 \gamma$  and  $K^\pm \rightarrow \pi^\pm \pi^0 e^+ e^-$  the subset of CT's in the table can be determined

$$\begin{array}{llll}
 K^\pm \rightarrow \pi^\pm \gamma^* & N'_{14} - N'_{15} & a_+ = -0.578 \pm 0.016 & \text{NA48/2} \\
 K_S \rightarrow \pi^0 \gamma^* & 2N'_{14} + N'_{15} & a_S = (1.06^{+0.26}_{-0.21} \pm 0.07) & \text{NA48/1} \\
 K^\pm \rightarrow \pi^\pm \pi^0 \gamma & N'_{14} - N'_{15} - N'_{16} - N_{17} & X_E = (-24 \pm 4 \pm 4) \text{ GeV}^{-4} & \text{NA48/2} \\
 K^+ \rightarrow \pi^+ \gamma \gamma & N'_{14} - N'_{15} - 2N'_{18} & \hat{c} = 1.56 \pm 0.23 \pm 0.11 & \text{NA48/2}
 \end{array} \quad (7)$$

#### 4 $K_{S,L} \rightarrow l^+ l^-$ , $K_{S,L} \rightarrow l^+ l^- l^+ l^-$ and $K_S \rightarrow \pi^+ \pi^- l^+ l^-$

Recent LHCb limit on  $K_S \rightarrow \mu \bar{\mu}$  in the table is close to test interesting New Physics (NP) models. A high precision measurement can test the short distance (SD) SM but it requires to improve the long distance (LD) prediction with auxiliary channels [10].  $K_L \rightarrow \mu \mu$ : the small ratio SD/LD  $\sim \frac{1}{30}$  may obscure an experimental improvement on the rate. The situation would be a bit ameliorated if the sign for  $A(K_L \rightarrow \gamma \gamma)$  would be known. Help to this ambiguity could come from the experimental study of  $K_{S,L} \rightarrow l^+ l^- l^+ l^-$  [10] As shown in table these channels are at reach in a high intensity machine and they may also give LD distance info needed for a better control of  $K_L \rightarrow \mu \mu$ . These four body decays have also a peculiar feature, similarly to  $K_{S,L} \rightarrow \pi^+ \pi^- e^+ e^-$ , the two different helicity amplitudes interfere; then one can measure the sign  $K_L \rightarrow \gamma^* \gamma^* \rightarrow l^+ l^- l^+ l^-$  by studying the time interference  $K_S K_L$  which it has a decay length  $2\Gamma_S$  [10].

The interplay between LHCb and NA62 program is nicely shown in ref [12].

$K_S \rightarrow \pi^+ \pi^- l^+ l^-$  Following the study of  $K^+ \rightarrow \pi^+ \pi^0 l^+ l^-$  in Ref. [13] we have studied the decay  $K_S \rightarrow \pi^+ \pi^- l^+ l^-$  [9], that it has been studied by NA48/2 and it is a target of LHCb. One finds that the long-distance contributions to  $K_S \rightarrow \pi^+ \pi^- \gamma^*$  can be determined with remarkable accuracy, namely

$$BR(K_S \rightarrow \pi^+ \pi^- e^+ e^-) = \underbrace{4.74 \cdot 10^{-5}}_{\text{Brems.}} + \underbrace{4.39 \cdot 10^{-8}}_{\text{Int.}} + \underbrace{1.33 \cdot 10^{-10}}_{\text{DE}} . \quad (8)$$

This number is in excellent agreement with the PDG average [14]:

$$BR(K_S \rightarrow \pi^+ \pi^- e^+ e^-)_{exp} = (4.79 \pm 0.15) \times 10^{-5} . \quad (9)$$

Similarly, one can predict that

$$BR(K_S \rightarrow \pi^+ \pi^- \mu^+ \mu^-) = \underbrace{4.17 \cdot 10^{-14}}_{\text{Brems.}} + \underbrace{4.98 \cdot 10^{-15}}_{\text{Int.}} + \underbrace{2.17 \cdot 10^{-16}}_{\text{DE}} . \quad (10)$$

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