Hadron production in $pp$ and $AA$ collisions at mid-rapidity within self-similarity approach

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**Abstract.** The self-consistent approach based on similarity of inclusive spectra of hadrons produced in $pp$ and $AA$ collisions is reviewed. We present its modification due to the quark-gluon dynamics to describe the inclusive spectra of hadrons produced in $pp$ collision as a function of the transverse momentum $p_t$ at mid-rapidity. The extension of this approach to analyze the pion $p_t$-spectra produced in $AA$ collision at high and middle energies and mid-rapidity is given. A satisfactory description of experimental data on these spectra in $pp$ and $AA$ collisions within the offered approach is shown.

1 Introduction

Within the self-similarity approach [1, 2] the predictions on the ratios of the particles produced in $AA$ collisions at high energies were given in [3, 4]. Let us briefly present here the main idea of this study. Consider, for example, the production of hadrons $1, 2$, etc. in the collision of nucleus $I$ with nucleus $II$:

$$I + II \rightarrow 1 + 2 + \ldots$$  \hfill (1)

According to this assumption more than one nucleon in the nucleus $I$ can participate in the interaction (1). The value of $N_I$ is the effective number of nucleons inside the nucleus $I$, participating in the interaction which is called “the cumulative number”. Its values lie in the region of $0 \leq N_I \leq A_I$ ($A_I$ - atomic number of nucleus $I$). The cumulative area complies with $N_I > 1$. Of course, the same situation will take place for the nucleus $II$, and it is possible to introduce the cumulative number of $N_{II}$.

For reaction (1) with the production of the inclusive particle $1$ we can write the conservation law of four-momentum in the following form:

$$(N_I p_I + N_{II} p_{II} - p_1)^2 = (N_I m_0 + N_{II} m_0 + M)^2,$$  \hfill (2)

where $N_I$ and $N_{II}$ are the numbers of nucleons involved in the interaction; $p_I$, $p_{II}$, $p_1$ are four momenta of the nuclei $I$ and $II$ and particle $1$, respectively; $m_0$ is the mass of the nucleon; $M$ is the mass of the particle providing the conservation of the baryon number, strangeness, and other quantum numbers.

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In [3] the parameter of self-similarity is introduced, which allows one to describe the differential cross section of the yield of a large class of particles in relativistic nuclear collisions:

$$\Pi = \min \left\{ \frac{1}{2} \left[ (u_I N_I + u_H N_H)^2 \right]^{1/2} \right\}, $$

where $u_I$ and $u_H$ are four velocities of the nuclei $I$ and $H$. The values $N_I$ and $N_H$ will be measurable, if we accept the hypothesis of minimum mass $m_0^2(u_I N_I + u_H N_H)^2$ and consider the conservation law of 4-momentum. Thus, the procedure to determine $N_I$ and $N_H$, and, hence, $\Pi$, is to find the minimum of $\Pi$ on the basis of the conservation law of energy-momentum.

Then, it was suggested [3–5] that the inclusive spectrum of the produced particle 1 in $AA$ collision can be presented as the universal function dependent of the self-similarity parameter $\Pi$, which was chosen, for example, as the exponential function:

$$Ed^3\sigma/dp^3 = C_1A_I^{\alpha(N_I)}A_H^{\alpha(N_H)} \exp(-\Pi/C_2),$$

where $\alpha(N_I) = 1/3 + N_I/3$, $\alpha(N_H) = 1/3 + N_H/3$, $C_1 = 1.9 \cdot 10^4$ mb $\cdot$ GeV$^{-2} \cdot$ c$^{-3} \cdot$ st$^{-1}$ and $C_2 = 0.125 \pm 0.002$.

## 2 Relation of self-similarity function $\Pi$ to the Mandelstam variables $s, t, u$

As it is mentioned above, the exponential form for the hadron inclusive spectrum given by Eq. (4) was chosen as an example and using it we can satisfactorily describe the ratio of total yields of antiprotons to the protons produced in heavy nucleus-nucleus collisions. Unfortunately, this simple form Eq. (4) contradicts the LHC data on the inclusive spectra of hadrons produced in the central $pp$ collisions, as shown in [6]. Therefore, we used the results of [6] to present the inclusive relativistic invariant hadron spectrum at the mid-rapidity region and at not large hadron transverse momenta $p_t$ in a more complicated form, which consists of two parts. The first one is due to the contribution of quarks obtained within the QGSM (Quark-Gluon String Model) [7, 8] using the AGK (Abramovsky, Gribov, Kanchelli) cancellation [9] of $n$-pomeron exchanges for inclusive hadron spectra in the mid-rapidity region. It is written in the following form [6]:

$$E(d^3\sigma/d^3 p)_q = \phi_q(y = 0, p_t) \sum_{n=1}^{\infty} [n\sigma_n(s)] = \phi_q(y = 0, p_t) g(s/s_0)^{\Delta},$$

where $\sigma_n(s)$ is the cross-section to produce the $n$-pomeron chain (or $2n$ quark-antiquark strings); $g = 21$ mb - constant, which is calculated within the "quasi-eikonal" approximation [10]; $s_0 = 1$ GeV$^2$; $\Delta = [\alpha_p(0) - 1] \approx 0.12$, where $\alpha_p(0)$ is the sub critical Pomeron intercept [7, 8, 10].

The second part of the hadron inclusive spectrum at the mid-rapidity region was introduced in [6, 11] assuming the contribution of the nonperturbative gluons and calculating it as the one-pomeron exchange between two nonperturbative gluons in the collided protons [11]. This part was written in the following form [6]:

$$E(d^3\sigma/d^3 p)_g = \phi_g(y = 0, p_t) \sum_{n=2}^{\infty} (n - 1)\sigma_n(s)$$

$$= \phi_g(y = 0, p_t) \left\{ \sum_{n=1}^{\infty} n\sigma_n(s) - \sum_{n=1}^{\infty} \sigma_n(s) \right\}$$

$$= \phi_g(y = 0, p_t) [g(s/s_0)^{\Delta} - \sigma_{nd}],$$
where $\sigma_{nd}$ is the non diffractive $pp$ cross section.

Thus, taking into account the quark and gluon contributions we will get the following form for the inclusive hadron spectrum:

$$E(d^3\sigma/d^3p) = [\phi_q(y = 0, p_t) + \phi_g(y = 0, p_t)(1 - \sigma_{nd}/g((s/s_0)^{\Delta}))[g(s/s_0)^{\Delta}].$$  
(7)

The question arises, what is the relation of the similarity parameter $\Pi$ to the relativistic invariant variables $s, p_t^2$? This relation can be found from Eqs. (21-24) using $ch(Y) = \sqrt{s}/(2m_0)$. Then, we have the following form for $\Pi$:

$$\Pi = \left\{ \frac{m_{1t}}{2m_0d} + \frac{M}{\sqrt{5}d} \right\} \left( 1 + \sqrt{1 + \frac{M^2 - m_1^2}{m_{1t}^2}} \delta \right),$$  
(8)

where $\delta = 1 - 4m_0^2/s$; $m_{1t} = \sqrt{p_t^2 + m_1^2}$ is the transverse mass of the produced hadron $h$. At large initial energies $\sqrt{s} \gg 1$ GeV the similarity parameter $\Pi$ becomes as following:

$$\Pi = \left\{ \frac{m_{1t}}{2m_0(1 - 4m_0^2/s)} \right\} \left( 1 + \sqrt{1 + \frac{M^2 - m_1^2}{m_{1t}^2}(1 - 4m_0^2/s)} \right).$$  
(9)

For $\pi$-mesons $m_1 = \mu_\pi$ is the pion mass and $M = 0$; for $K^-$-mesons $m_1 = m_K$ is the kaon mass and $M = m_K$; for $K^+$-mesons $m_1 = m_K$ and $M = m_\Lambda - m_0$, $m_\Lambda$ is the mass of the $\Lambda$-baryon. For $\pi$-mesons at $p_t^2 >> m_1^2$ we have:

$$\Pi = \frac{m_{1t}}{m_0(1 - 4m_0^2/s)}. \quad (10)$$

One can see that in the general case the similarity parameter $\Pi$ depends on $p_t^2$ and $s$ and asymptotically at large $s >> 4m_0^2$ it depends only on $p_t^2$. Let us stress that the dependence of $\Pi$ on $s$ is crucial only at low initial energies.

### 2.1 Quark-gluon dynamics of soft NN interaction and self-similarity function $\Pi$

The invariant inclusive spectrum can be also presented in the following equivalent form:

$$\rho_{NN} \equiv E_h \frac{d^3\sigma_{NN}}{d^3p_h} = \frac{1}{\pi} \frac{d\sigma}{dp_t^2 dy} \equiv \frac{1}{\pi} \frac{d\sigma}{dm_{1t}^2 dy}. \quad (11)$$

Taking into account (11) we can rewrite Eq. (7) in the following form:

$$\frac{1}{\pi} \frac{d\sigma_{NN}}{dm_{1t}^2 dy} = [\phi_q(y = 0, \Pi) + \phi_g(y = 0, \Pi)(1 - \sigma_{nd}/g((s/s_0)^{\Delta}))[g(s/s_0)^{\Delta}]. \quad (12)$$

The first part of the inclusive spectrum (Soft QCD (quarks)) was calculated in [6, 11] within the QGSM [7, 8] and then, the function $\phi_q(y = 0, \Pi)$ was fitted in the following form [5, 11]:

$$\phi_q(y = 0, \Pi) = A_q \exp (-\Pi/C_q), \quad (13)$$

where $A_q = 3.68$ (GeV/c)$^{-2}$, $C_q = 0.147$ for $pp \rightarrow \pi X$ processes at the mid-rapidity and width region of initial energies.

The function $\phi_g(y = 0, \Pi)$ is related to the second part (Soft QCD (gluons)) of the spectrum, which was calculated in [11]. Then, for the pion production in $pp$ collision at high energies it is fitted in the following form [5, 11]:

$$\phi_g(y = 0, \Pi) = A_g \sqrt{m_{1t}} \exp (-\Pi/C_g), \quad (14)$$
**Figure 1.** Results of the calculations of the inclusive cross section of pion production in $pp$ collisions as a function of the transverse mass at $s^{1/2}=7.75$ GeV or at the initial momentum $P_{in}=31$ GeV/c in l.s.m. They are compared to the NA61 experimental data [12].

**Figure 2.** Left: the inverse slope parameter $T$ for the reaction $pp \rightarrow \pi^- X$ calculated using Eq. 16. The points at $6 \text{ GeV} < \sqrt{s} < 18 \text{ GeV}$ are the NA61 data [12], the points at $2 \text{ GeV} < \sqrt{s} < 4 \text{ GeV}$ are the data extracted from [13, 14]. Right: results of the calculations of the inclusive cross section of charge hadrons produced in $pp$ collisions at the LHC energies as a function of their transverse momentum $p_t$ at $\sqrt{s}=7$ TeV. The points are the LHC experimental data [15, 16].
where $A_g = 1.7249 \text{(GeV/c)}^{-2}$, $C_g = 0.289$.

Using (12) we can calculate the inclusive hadron spectrum as a function of the transverse mass.

In Fig. 1 the inclusive spectrum $(1/m_{1\ell})d\sigma/dm_{1\ell}dy$ of $\pi^-$-mesons produced in $pp$ collisions at the initial momenta $P_{in} = 31 \text{ GeV/c (}\sqrt{s} = 7.75 \text{ GeV})$ per nucleon is presented versus their transverse mass $m_{1\ell}$. The similar satisfactory description of the NA61 data at $P_{in} = 158 \text{ GeV/c (}\sqrt{s} = 17.29 \text{ GeV})$ was obtained in our paper [5]. Using only the first part of the spectrum $\phi_q(y = 0, m_{1\ell})$, which corresponds to the quark contribution, the conventional string model, let us call it the SOFT QCD (quarks), one can describe the NA61 data [12] rather satisfactorily at $m_t < 1 \text{ GeV/c}^2$. This part of the inclusive spectrum corresponds to the dashed line in Fig. 1. The inclusion of the second part of spectrum due to the contribution of gluons (SOFT QCD (gluons)), the dotted line, allowed us to describe all the NA61 data up to $m_{1\ell} = 1.5 \text{ GeV/c}^2$, see the solid line in Fig. 1 (Soft QCD(quarks+gluons)). Actually, at large $\sqrt{s}$ even at the NA61 energies $\Pi = m_{1\ell}/m_0$ instead of (10). Generally the pion spectrum $\rho_{NN}(s,m_{1\ell} \equiv E_h(d^3\sigma/d^3p_t)$ (ignoring the gluon part) can be presented in the following approximated form, which is valid for the NA61 energies and low transverse momenta $p_t < 1 \text{ GeV/c}$:

$$\rho_{NN}(s,m_{1\ell}) \approx \phi_q(y = 0, \Pi)g(s/s_0)^A_q \exp(-\frac{m_{1\ell}}{C_q m_0(1 - 4m_0^2/s)}) \approx g(s/s_0)^A_q \exp(-\frac{m_{1\ell}}{T}),$$

(15)

$$T = C_q m_0(1 - 4m_0^2/s)$$

(16)

is the inverse slope parameter, sometimes called the *thermal freeze-out* temperature. One can see from Eq. (16) that this *thermal freeze-out* temperature depends on the initial energy square $s$ in the c.m.s. of collided protons. That is the direct consequence of the self-similarity approach, which uses the four-momentum velocity formalism. This $s$-dependence of $T$ is significant at low initial energies and at $s >> m_0^2$ the inverse slope parameter $T$ becomes independent of $s$. To describe rather well the NA61 data at larger values of $p_t$, the inclusive pion spectrum should be presented by Eq. (12). However, the main contribution to the inelastic total cross section comes from the first part of Eq. (12), which has the form given by Eq. (15).

We have calculated the inverse slope parameter $T$ for the pion production in $pp$ collision as a function of the energy $\sqrt{s}$ given by Eq. (16) and presented in Fig. 2(left). There is a good agreement with the NA61 data [12] at 6 GeV $< \sqrt{s} < 18 \text{ GeV}$ and the JINR data [13, 14] at 2 GeV $< \sqrt{s} < 4 \text{ GeV}$.

As, for example, in Fig. 2(right) we illustrate a satisfactory description of LHC data on the inclusive spectrum of charged hadrons (mainly pions and kaons) at $\sqrt{s} = 7 \text{ TeV}$ by using Eq. (12) and the perturbative QCD (PQCD) within the LO [6, 11]. This spectrum is a sum of inclusive spectra of pions and kaons, therefore it is presented as a function of the transverse momentum $p_t$ instead of functions of the transverse mass $m_{1\ell}$ because the masses of a pion and kaon are different. In addition to the part of the spectrum, which corresponds to Eq. (12), see the solid line in this figure, we have also included the PQCD calculations, see the dotted line. The PQCD calculations within the LO are divergent at low $p_t$, therefore, the dotted line goes up, when $p_t$ decreases. The kinematical region about $p_t \approx 1.8-2.2 \text{ GeV/c}$ can be treated as the matching region of the nonperturbative QCD (soft QCD) and the perturbative QCD (PQCD). One can see from Fig. 2 that it is possible to describe rather well these inclusive spectrum in the wide region of $p_t$ at the LHC energies matching these two approaches. To describe rather well the LHC data on these inclusive $p_t$-spectra at $p_t > 2-3 \text{ GeV/c}$, the PQCD calculation
should be included, whose contribution has a shape similar to the power law $p_t$-distribution [17]. Let us stress that the NA61 data and the LHC ones on hadron transverse momentum spectra in $pp$ collisions at the mid-rapidity region are described within our approach rather satisfactorily with $\chi^2/n.d.f. = 0.98$ [18].

3 Nucleus-nucleus collisions in the central rapidity region

The relativistic invariant inclusive spectrum of hadrons produced in $AA$ collision in the central rapidity region and not large transverse momenta can be presented in the following form:

$$Ed^3\sigma_{AA}/dp^3 = C_I A_I^{\alpha(N)} A_B^{\alpha(N_b)} \rho_{NN},$$

(17)

where $\rho_{NN}$ is the inclusive relativistic invariant pion spectrum in $pp$ collision given by Eq. (12), $\alpha(N) = 1/3 + N/3$, and the $s$-dependent function $N$ is calculated using Eqs. (21-24).

The results of our calculations of $p_t$-spectra of pions produced in $AA$ collisions in the central rapidity region and different high and middle energies compared to different experimental data are presented in Figs. (3-5). One can see from Fig. 3 that our approach is able to get a satisfactory description of the data on pion production in $AuAu$ and $PbPb$ collisions at the STAR and LHC energies as well as in $p-p$ collisions, see Figs. (1,2) at $p_t \leq 1.2$ GeV/c. In principle, there can be another theoretical interpretation of multiple hadron production in heavy-ion collisions at high energies based, for example, on the stationary thermal model [19, 20]. At middle initial energies about 1 GeV-8 GeV our calculations of pion $p_t$-spectra in heavy ion collisions, namely $AuAu$, $ArKCl$, at the central rapidities result in a more or less satisfactory description of the data shape at low $p_t < 0.5-0.6$ GeV/c, as it also can be seen in Figs. (3-5). In calculation of all the pion $p_t$-spectra in $AA$ collisions at mid-rapidity we have used the same form of $\rho_{NN}$ applied to the satisfactory description of the NA61 and LHC data on hadron production in $pp$ collisions, see Figs. (3-5). The energy dependence of these spectra is given by the term $q(s/s_0)^\Delta$ for the quark contribution and the term $(g(s/s_0)^\Delta - \sigma_{nd})$ for the gluon contribution to $\rho_{NN}$. The non diffractive cross section $\sigma_{nd}$, as a difference between the total $pp$ cross section $\sigma_{tot}$ and the elastic ($\sigma_{el})$ and diffractive ($\sigma_{dif}$) cross sections at high energies has been taken from the experimental data. At middle energies about several GeV there are very poor data on the diffractive cross section $\sigma_{dif}$. Therefore, at $\sqrt{s}$ about a few GeV our calculations are not so precise, as at high energies. As one can see from Fig. 3 the $m_{tr}$-pion spectra in heavy ion collisions, as $Au + Au$, $Pb + Pb$, at high energies and the mid-rapidity are described rather satisfactorily within the proposed approach at $m_{tr} < 0.7$ GeV/c$^2$ with $\chi^2/n.d.f. = 0.98$.

A small deviation of our calculations from the HADES data less than 10% can be seen in the $m_{tr}$-spectra of the pions produced in $Ar + KCl$ collision at the initial kinetic energies per nucleon about 1.75 GeV (\(\sqrt{s} = 2.61\) GeV) and $m_{tr} < 0.6$ GeV/c$^2$ presented in Fig. 4(right). It is illustrated by Tab. 1 presented in [21]. Approximately the same deviation, as in Tab. 1 [21], is for the pion production in $Au + Au$ collision also at $m_{tr} < 0.6$ GeV/c$^2$, see Fig. 4(left). However, at $m_{tr} > 0.6$ GeV/c$^2$ this deviation can be about 20%-70%, as it is seen from Tab. 1 [21].

Contrary to this rather big deviation of our calculations from the HADES data is seen in the pion production in $C^{12} + C^{12}$ collision at the initial kinetic energy per nucleon about 2 GeV presented in Fig. 5(left) especially at $m_{tr} > 0.3$ GeV/c$^2$. However, the AGS data for $Au + Au \rightarrow \pi + X$ reaction at the same energy per nucleon are described much better, as it is seen in Fig. 4(left). A big deviation of the theory from the data is not seen in $p_t$-spectra of the pions produced in heavy ion collisions, for example, $AuAu$, $PbPb$. It can be due to the difference between
nuclear density $\rho_N(k)$ distribution in heavy nuclei and light nuclei. In the heavy nucleus $\rho_N(k)$ as function of the internal nucleon momentum $k$ is more flat compared to the nucleon distribution in the light nucleus, therefore the pion production in heavy ion collision can be less sensitive to the nuclear structure compared to the same pion production in light nucleus-nucleus collisions.

In Fig. 5(right) we present the prediction of pion $m_{\pi T}$-spectrum in AuAu collision in the mid-rapidity region and centrality about (0-5)% for the HADES experiment at the initial kinetic energy per nucleon about 1.25 GeV ($\sqrt{s} = 2.42$ GeV).

**Figure 3.** Left: results of our calculations of pion $p_T$-spectra in AuAu and PbPb collisions in the mid-rapidity region ($|y| < 0.5$) compared to the STAR [22, 23] and ALICE [24–27] data. Right: Results of our calculations of pion $m_{\pi T}$-spectra in PbPb, ArSc, BeBe and pp collisions at $\sqrt{s} = 8.77$ GeV or at the initial momentum per nucleon $P_{in} = 40$ GeV/c and the mid-rapidity region compared to the NA61 [28, 29] data.

**Figure 4.** Left: our calculations of pion $m_{\pi T}$-spectra in AuAu collision in the mid-rapidity region at $\sqrt{s} = 4.31, 3.84, 3.32, 2.7$ GeV or the initial kinetic energies per nucleon about $E_{kin} = 8, 6, 4.2$ GeV respectively. They are compared to the AGS data [30]. Right: results of our calculations of pion $m_{\pi T}$-spectra in ArKcl collision in the mid-rapidity region at $\sqrt{s} = 2.61$ GeV or at initial kinetic energy per nucleon about 1.75 GeV compared to the HADES data [31]; the long dash line corresponds to the exponential part of pion spectrum given by Eq. (13) and the short dash curve corresponds to the nonexponential part given by Eq. (14).
Figure 5. Left: results of our calculations of pion $m_{π_t}$-spectrum in $C^{12}C^{12}$ collision in the mid-rapidity at the initial kinetic energy per nucleon about 1 GeV ($\sqrt{s} = 2.32$ GeV) and 2 GeV ($\sqrt{s} = 2.7$ GeV) compared to the HADES data [32]. Right: our predictions of pion $m_{π_t}$-spectrum in $AuAu$ collision in the mid-rapidity region or initial kinetic energy per nucleon about 1.25 GeV ($\sqrt{s} = 2.42$ GeV) at the centrality about (0-5)%; the long dash line corresponds to the exponential part of pion spectrum given by Eq. (13) and the short dash curve corresponds to the non exponential part given by Eq. (14).

4 Conclusion

The inclusive hadron spectrum in the space of four-velocities is presented within the self-similarity approach as a function of the similarity parameter $Π$. The use of the self-similarity approach allows us to describe the ratio of the total yields of protons to the anti-protons produced in $AA$ collisions as a function of the energy in the mid-rapidity region and a wide energy range from 10 GeV to a few TeV [5].

We have shown that the energy dependence of the similarity parameter $Π$ included within this approach is very significant at low energies, namely at $\sqrt{s} < 6$ GeV, and rather well reproduces the experimental data on the inverse slope or the thermal freeze-out temperature of the inclusive spectrum of the hadrons produced in $pp$ collisions. The parameter $Π$ increases and saturates when $\sqrt{s}$ grows. This is very significant for a theoretical interpretation of the future experimental data planned to obtain at FAIR, CBM (Darmstadt, Germany), RHIC (BNL, Brookhaven, USA) and NICA (Dubna, Russia) projects. That is an advantage of the self-similarity approach compared to other theoretical models.

However, we have also shown that the $s$ dependence of $Π$ is not enough to describe the inclusive spectra of the hadrons produced in the mid-rapidity region, for example, in $pp$ collisions in a wide region of the initial energy, especially at the LHC energies. Therefore, we have modified the self-similarity approach using the quark-gluon string model (QGSM) [7, 8] and [6, 11] including the contribution of nonperturbative gluons, which are very significant to describe the experimental data on inclusive hadron spectra in the mid-rapidity region at the transverse momenta $p_t$ up to 2-3 GeV/c [6, 11]. Moreover, the gluon density obtained in [11], whose parameters were found from the best description of the LHC data and also allowed us to describe the HERA data on the proton structure functions [18]. To describe the data in the mid-rapidity region and values of $p_t$ up to 2-3 GeV/c, we have modified the simple exponential form of the spectrum, as a function of $Π$, and presented it in two parts due to the contribution of quarks and gluons, each of them has a different energy dependence. This energy dependence was obtained in [6] by using the Regge approach valid for soft hadron-nucleon processes. To extend the application of the offered approach to analyze...
these inclusive $p_t$-spectra at large hadron transverse momenta, we have to take the PQCD calculations into account.

This approach is applied to the analysis of pion production in $pp$, $AA$ and $pA$ collisions in the mid-rapidity region. We have shown the self-consistent satisfactory description of the data on $p_t$-spectra of the pions in these interactions in a wide region of initial energies and not large transverse momenta of pions. The approach suggested in this paper results in a more or less well description of these spectra for heavy-ion collisions. However, it can not be applied to the analysis of hadron production in light nucleus-nucleus collisions, especially, at middle energies because the production mechanism is very sensitive to the nuclear structure, which is different for heavy and light nuclei.

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