

# Using Big Data Technologies for HEP Analysis

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**Abstract.** The HEP community is approaching an era where the excellent performances of the particle accelerators in delivering collision at high rate will force the experiments to record a large amount of information. The growing size of the datasets could potentially become a limiting factor in the capability to produce scientific results timely and efficiently. Recently, new technologies and new approaches have been developed in industry to answer to the necessity to retrieve information as quickly as possible to analyze PB and EB datasets. Providing the scientists with these modern computing tools will lead to rethinking the principles of data analysis in HEP, making the overall scientific process faster and smoother.

In this paper, we are presenting the latest developments and the most recent results on the usage of Apache Spark for HEP analysis. The study aims at evaluating the efficiency of the application of the new tools both quantitatively, by measuring the performances, and qualitatively, focusing on the user experience. The first goal is achieved by developing a data reduction facility: working together with CERN Openlab and Intel, CMS replicates a real physics search using Spark-based technologies, with the ambition of reducing 1 PB of public data in 5 hours, collected by the CMS experiment, to 1 TB of data in a format suitable for physics analysis.

The second goal is achieved by implementing multiple physics use-cases in Apache Spark using as input preprocessed datasets derived from official CMS data and simulation. By performing different end-analyses up to the publication plots on different hardware, feasibility, usability and portability are compared to the ones of a traditional ROOT-based workflow.

## 1. Introduction

The scientific method is based on comparing predictions to experimental data, in order to confirm or disprove new theories. In high energy physics (HEP), such data are collected by an experimental apparatus that can detect fundamental particles once they are produced in the collision of beams provided by accelerators like the LHC at CERN.

Particle detection is an extremely complicated process. It consists in recording the physics quantities (like energy or flight path) of the particles generated in a collision. Such quantities are measured by the interaction of the particles with the different active components of the detector used to perform the experiment. At the LHC, given the complexity of the detector design, this process involves performing almost one hundred million independent measurements. All the measurements that happen in a single collision are collectively called an event.

The event is the unit which the whole HEP analysis process is based on. Event by event, detector signals (as well as simulated signals) must be converted into the physics properties associated to the particles that produced them. Complex algorithms are applied in order to reconstruct such information. This step is computationally expensive and it is usually organized centrally by each experiment, in order to make the best use of the available resources and to best serve the need of the researchers and the priorities of the experiment. The reconstructed events are provided to the collaboration of physicists in a shared format that is the input to the final analysis.

Usually the total size of the datasets as provided by central processing is too large to allow for interactive analysis. Researchers or groups of researchers exploring similar physics questions rely on several steps of data processing, filtering unnecessary events and eliminating unnecessary quantities from the original datasets to get a manageable sub-sample. The optimization of this process is left to the individual.

In the next years the experiments at CERN will face a substantial increase in the data production rate due to a planned major upgrade of the accelerators. In order to ensure continuity in the production of high quality scientific results, the inefficiencies that are affecting the current analysis approach must be eliminated. The need to investigate alternative possibilities to perform physics analysis in a more efficient way is therefore becoming imperative.

Recently, new toolkits and systems have emerged outside of the HEP community to analyze Petabyte and Exabyte datasets in industry, collectively called "Big Data." These new technologies use different approaches and promise a fresh look at analysis of extremely large datasets.

In this paper, we focus on the application of Apache Spark [1] to the HEP analysis problem. We incorporate lessons learned from our previous investigations [2] as well as new tools developed to enable HEP analysis in Apache Spark. Scalability and usability studies are performed and the latest findings are presented.

## 2. The Traditional Analysis Workflow and Its Limitations

The traditional HEP analysis workflow is based on the usage of the ROOT framework [3], a general, experiment-independent C++ toolkit. It provides statistical tools and a serialization format to persist reconstructed and transformed objects in files.

The centrally-produced datasets are provided in ROOT format, with a file-based data representation and a class structure with branches. The data management systems do not allow to extract branches efficiently from nested ROOT files, therefore physicists set up workflows that involve several steps of data processing, each one of them staging out intermediate outputs.

A first step of ntupling is performed in order to modify the information saved event by event. Immutable branches are duplicated in a disk-to-disk copy with the addition of new branches if needed, while unused ones are removed. At this stage, the information is selected to serve a smaller group of researchers performing similar physics measurements. Although the total size of the output is smaller, it is still too big to allow for interactive analysis.

A second step that involves dropping uninteresting events (skimming) or additional unused branches (slimming) is therefore necessary to limit latencies. The output is a disk-to-disk copy where the immutable information is once again duplicated, but the class structure is translated into a "flat" format, in which events are rows of a table with primitive numbers or arrays of

numbers as columns. At this stage, the information is usually selected to serve the scope of a single analysis. The size is reduced by an order of magnitude. As a last step, quantities from the final ntuples are aggregated and plotted as histograms.

These steps require the usage of grid and batch resources to exploit parallelization. Significant burden of tedious and time-consuming manual bookkeeping and failure re-submission is put on the individual analyst or analysis groups, resulting in an inefficient job splitting, with suboptimal parallelization. The workflow is convoluted and with limited interactivity. The analysis frameworks that support such workflows are group- or analysis-specific, often hardware-specific, limiting the portability and stimulating the multiplication of individual codes with similar functionalities. The duplication of immutable branches that happens at each stage of the workflow results in significant usage of storage space, making such an approach not sustainable on the long run.

### 3. The Scalability Test

In a previous usability study [2] of Apache Spark, we implemented an analysis workflow by converting data into the AVRO [4] format and uploaded it to the Hadoop file system (HDFS) [5] of our development cluster. The biggest impediment to use the new technology as identified by the analysts was the need to convert the data in the new format.

To enable Apache Spark to understand the data structures of the ROOT files without the need to convert, a library called spark-root [6] was developed. It is based on a Java-only implementation of the ROOT I/O libraries which offers the ability to translate ROOT data structures into Spark DataFrames (DFs) and ResilientDistributedDatasets (RDDs).

Another library, the Hadoop-XRootD connector [7] was also developed in order to enable access to files stored in the EOS [8] disk storage system at CERN. The Hadoop-XRootD connector is a Java library that accesses files directly through the XRootD protocol [9] without the need to import/export data to HDFS.

In this paragraph, the latest results of the tests on performance, efficiency and scalability of these new tools are presented. A Spark workflow that reproduces a real physics analysis was executed on a Hadoop cluster at CERN. This infrastructure is comprised of almost 1300 cores and 7TB of RAM. The input is data collected by the CMS [10] experiment in 2011, publicly accessible and stored as ROOT files on EOS at CERN.

The tests were performed by executing the workflow for different size of the input data, in order to understand how the execution time scales with input size. As a second step, the same workflow was executed for a specific input size while scaling up the available resources (executors/cores and memory).

The tests were repeated for two different instances of the EOS storage, namely EOS Public and EOS UAT, in order to identify if the network throughput and the storage infrastructure affect the performances. The EOS UAT instance consists of six servers, used exclusively for these tests.

The results of the first round of tests were obtained fixing to 32 MB the size of the "readAhead" buffer of the Hadoop-XRootD connector, which determines the amount of data that the connector will pre-fetch from the EOS storage service with every read call.

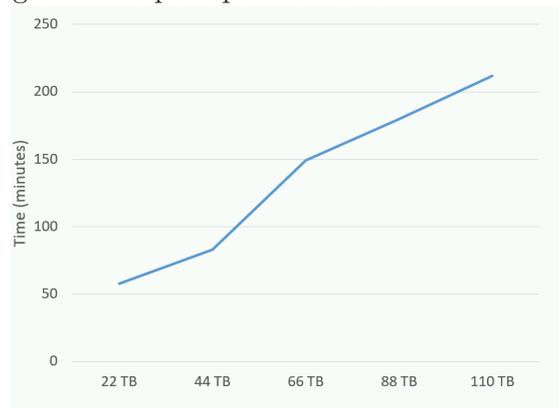
Table 1 and Fig. 1 show a linear dependence between the input size and the execution time. The system is able to reduce 110 TBs in 212 minutes without any significant optimization.

Table 2 and Fig. 2 show the results as a function of the allocated memory and cores, obtained by adjusting the number of Spark executors and fixing the memory per executor to 7GB. A plateau in the performance is reached at a specific memory value. This effect was caused by the saturation of the available network bandwidth. It was also evident when monitoring the total throughput of the network, as shown in Table 3.

**Table 1.** The results of scalability tests for different input size, obtained with a "readAhead" buffer of 32 MB, 804 logical cores, and 2 logical cores per Spark executor.

Input Size	Execution Time
22 TB	58 min
44 TB	83 min
66 TB	149 min
88 TB	180 min
110 TB	212 min

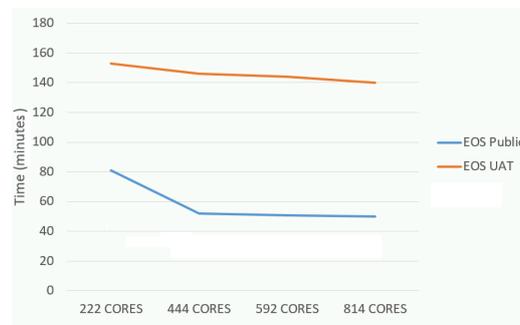
**Figure 1.** Performances for different input size, obtained with a "readAhead" buffer of 32 MB, 804 logical cores, and 2 logical cores per Spark executor.



**Table 2.** The results obtained scaling up the number of cores with 22 TB, with a "readAhead" buffer of 32 MB, for the different EOS instances used in this test.

Number of Executors/Cores	EOS public	EOS UAT
111/222	81 min	153 min
222/444	52 min	146 min
296/592	51 min	144 min
407/814	50 min	140 min

**Figure 2.** Performances for different number of cores, obtained with a "readAhead" buffer of 32 MB.



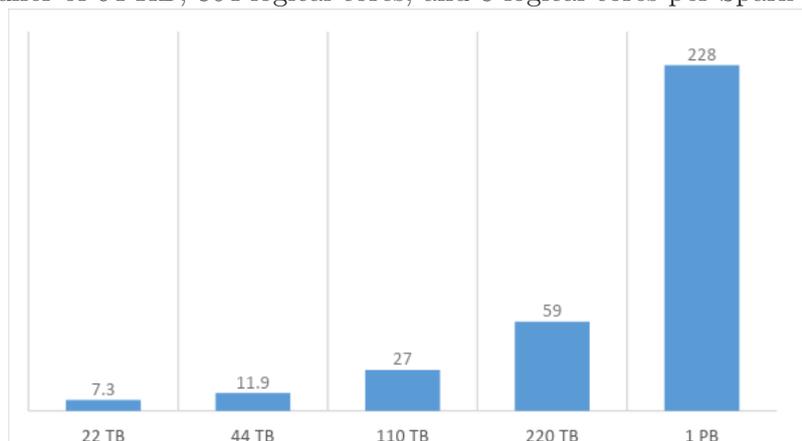
**Table 3.** Network throughput of EOS Public and EOS UAT access for different number of cores, obtained with a "readAhead" buffer of 32 MB.

Cores	EOS public	EOS UAT
222	15GBytes/s	6GBytes/s
444	19GBytes/s	7.5GBytes/s
592	21GBytes/s	7.5GBytes/s
814	21GBytes/s	7.5GBytes/s

**Table 4.** Aggregate results from re-executing the test by scaling up the input data size on EOS public obtained with a "readAhead" buffer of 64 KB, 804 logical cores, and 8 logical cores per Spark executor.

Input	EOS PUBLIC
22 TB	7.3 mins
44 TB	11.9 mins
110 TB	27 mins
220 TB	59 mins
1 PB	3.8 hours

**Figure 3.** Performance of the tests for different input size in minutes, obtained with a "readAhead" buffer of 64 KB, 804 logical cores, and 8 logical cores per Spark executor.



Further investigations identified that the "readAhead" parameter of the Hadoop-XRootD connector would need further tuning. After multiple executions with different parameter sizes that varied between 32 MB and 16 KB, an optimal value of 64 KB was determined for this specific workload. This choice allowed the utilization of 8 logical cores per Spark executor (compared to the 2 cores per executor used in the previous test) due to the lower memory pressure. As a result, a dramatic increase in performance was achieved, in terms of execution time and of efficiency in the utilization of the underlying resources. Such improvement can be explained by considering the specific features of the ROOT file format, that allows for read operations that fetches only the data of interest, rather than scanning the entire input ROOT file. Therefore a relatively small value of the "readAhead" parameter optimizes the performances.

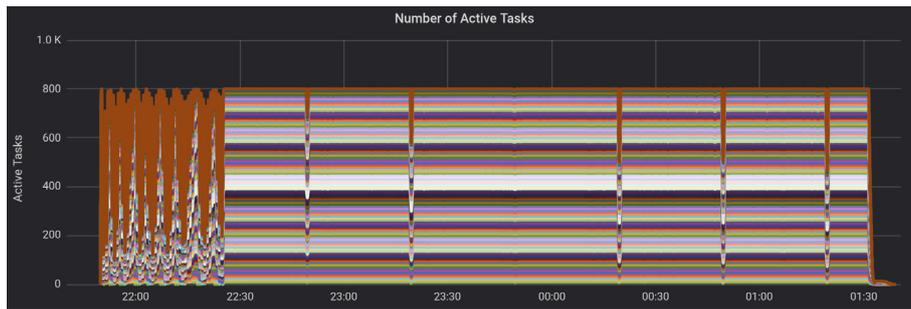
All the tests were re-executed with the new "readAhead" buffer size for the EOS public instance. The final results are summarized in Table 4 and Fig. 3.

The new results prove the capability to reduce 1 PB of input data in less than four hours.

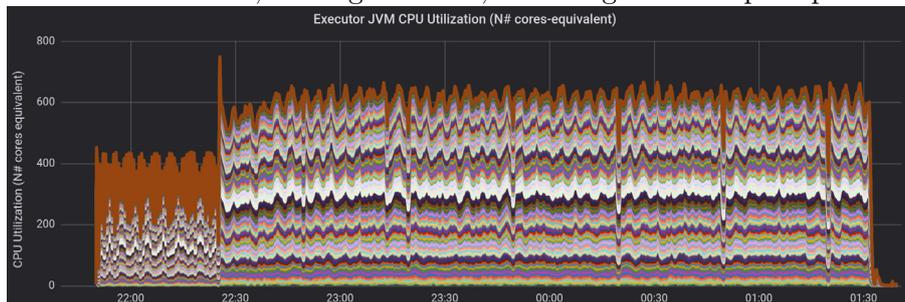
**Table 5.** Key workload metrics measured with Spark custom instrumentation for 1 PB of input, obtained with a "readAhead" buffer that varies between 16 KB and 64 KB, 804 logical cores, and 8 logical cores per Spark executor.

Metric	Total Time Spent
Total execution time	3000-3500 hours
CPU time	1200 hours
Read time	1200 - 1800 hours, depending on the "readAhead" size
Garbage collection time	200 hours

**Figure 4.** Number of concurrent active tasks throughout the job execution for 1 PB of input, obtained with a "readAhead" buffer of 64 KB, 804 logical cores, and 8 logical cores per Spark executor.



**Figure 5.** Executor CPU usage throughout the job execution for 1 PB of input, obtained with a "readAhead" buffer of 64 KB, 804 logical cores, and 8 logical cores per Spark executor.



Some key metrics of the workload were measured with a custom-developed Spark library and the results are shown in Table 5.

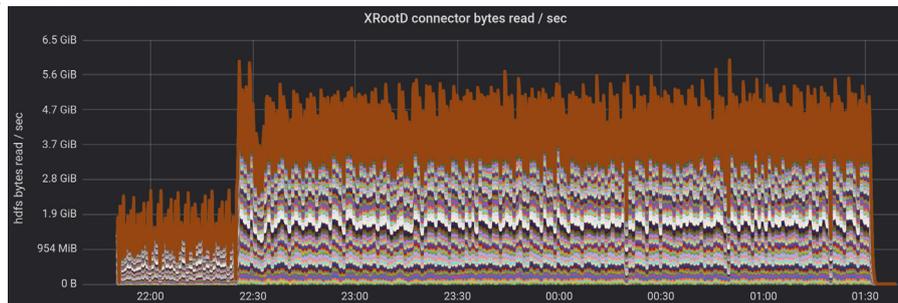
The CPU time used by tasks for processing accounts for approximately 40% of the execution time. The read time contributes to 40-50% of the total, as expected considering that the data reside in an external service. Notably, garbage collection consumes only 7-8% of the execution time.

The Fig 4 shows that the parallelization factor remained constant and close to optimal as the number of concurrent active tasks stayed at the maximum value (which equals the number of allocated cores for this job) for most of the job duration. This is a sign of both good scalability and proper usage of the underlying resources, as it is also demonstrated in Figs. 5 and 6.

#### 4. The Usability Study

The main goal of the usability test described in this section is testing the user experience, from the ability to setup and run a Spark-based analysis workflow to the portability of such workflow

**Figure 6.** Read throughput from Hadoop-XRootD connector throughout the job execution for 1 PB of input, obtained with a "readAhead" buffer of 64 KB, 804 logical cores, and 8 logical cores per Spark executor.



to different use cases and, most importantly, different clusters.

To perform this test, two similar workflows were tuned to run on different clusters. First, a Spark cluster at Vanderbilt University was used. It consists of 1000 cores with 5 TB of RAM. A second cluster hosted at the University of Padova, with 40 cores and 16 GB of RAM, was also employed in this test. The two workflows shared a similar structure: load standard ROOT files as Spark DFs making use of the spark-root library, open them over XRootD with the Hadoop-XRootD connector, use Spark to transform DFs and aggregate them into histograms with the Histogrammar [11] package, produce plots and tables from the histograms.

The first step of the test consisted in verifying how easily such workflow can be set up by a newcomer. A first year undergraduate student in computer science, with no physics knowledge and limited computing knowledge, approached the issue. Starting from scratch, the student was able to learn the basic functionalities of the new tools and run the entire workflow in a day. The simplicity of the Spark workflow to be set up in a short timescale is a clear advantage when compared to the timescale that is needed for a newcomer once approaching the classical ROOT-based workflow.

The second step consisted in adapting to the Vanderbilt cluster the workflow tuned to run at the Padova cluster. The major showstopper encountered was the environment setup. This could be solved by for example developing a shared library that generalizes the site configuration. Additional improvement is also required for the packaging of the Hadoop-XRootD connector in order to make the tool more automatically deployable, avoiding manual configuration. A possible solution that has been explored would be deploying the connector through a management framework for resource sharing as Apache Mesos [12]. Both these developments are currently a work in progress.

## 5. Conclusions

We presented studies of executing the traditional HEP analysis workflow on Apache Spark. The efficiency of the application of the new tools has been evaluated both quantitatively, by measuring the performances, and qualitatively, focusing on the user experience. Our studies identified some bottlenecks which we managed to overpass and underlined the need to scale up the Spark infrastructure and to generalize the site configuration. The scaling behavior results are promising and overperformed the original goal of reducing 1 PB to 5 hours, accomplishing the task in less than 4 hours.

## 6. Acknowledgments

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